DTCC | *Important Notice*
National Securities Clearing Corporation

A#:	8323
P&S #:	7898
Date:	01/20/2017
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, January 19, 2017:

S2K Servicing LLC	No.	7339

First Trade Date, January 23, 2017:

Blackstone Advisory Partners L.P.	No.	2529

First Trade Date, January 24, 2017:

Woodfield Fund Administration LLC	No.	7332
Woodfield Fund Administration LLC	No.	7342
Woodfield Fund Administration LLC	No.	7511
Woodfield Fund Administration LLC	No.	3502
The Bank of New York Mellon	No.	2021
The Bank of New York Mellon	No.	8097

- The following additional number has been assigned to Ultimus Fund Distributors, LLC (#5837) for the purpose of utilizing Fund/SERV, Networking, and ACATS Fund/SERV services as detailed below:

First Trade Date, January 25, 2017:

Ultimus Fund Distributors, LLC/Selective Wealth No. 8382
Contact: Doug Jones
Phone: (513) 587-3408

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Non-Confidential

- Please update the NSCC Directory dated January 2017 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	Clearing Broker Identifiers		Executing Broker Identifiers	
	Alpha	Numeric	Alpha	Numeric
Executing Broker Name Change Effective First Trade Date, January 18, 2017				
From: Neuberger Berman LLC	NFSC	0226	NBLB	
To: Neuberger Berman BD LLC	NFSC	0226	NBLB	
Delete Last Trade Date, January 18, 2017				
Seven Points Capital, LLC	LGNT	0052	SPTC	

- Please update the NSCC Directory dated January 2017 (for OTC Executed-By List) as detailed below:

	Clearing Broker Identifiers		Executing Broker Identifiers	
	Alpha	Numeric	Alpha	Numeric
Delete Last Trade Date, January 17, 2017				
Wedbush Securities Inc.	PFCL	0158	WMLT	
Add First Trade Date, January 23, 2017				
DBOT ATS, LLC	ETCC	0873	DBOX	

- Please update the NSCC Directory dated January 2017 (for Municipal Bond Comparison System) as detailed below:

	Clearing Broker Identifiers		Executing Broker Identifiers	
	Alpha	Numeric	Alpha	Numeric
Delete Last Trade Date, January 17, 2017				
First Brokerage America, L.L.C.	NFSC	0226	FBAL	

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.