



*Important Notice*  
**National Securities Clearing Corporation**

<b>A#:</b>	8637
<b>P&amp;S #:</b>	8212
<b>Date:</b>	11/09/2018
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

**Last Trade Date, November 09, 2018**

Foreside Fund Services, LLC/AmericaFirst Funds

No. 3314

*In connection with the above account retirement, another member firm will now assume this same number, as detailed below:*

- The following additional number has been assigned to Arbor Court Capital LLC (#7023) for the purpose of utilizing Fund/SERV, Networking, Omni/SERV Activity & Position, MF – Daily Price & Rate, DTCC Payment aXis and ACATS Fund/SERV services, as detailed below:

**First Trade Date, November 12, 2018:**

Arbor Court Capital LLC/AmericaFirst Funds

No. 3314

Contact: Gregory B Getts

Phone: (440) 922-0066 (Ext. 101)

- The following firms will retire from all services, as detailed below:

**Last Trade Date, November 07, 2018**

Ultimus Fund Distributors, LLC/Topturn Funds

No. 3260

**Last Trade Date, November 08, 2018**

Foreside Fund Services, LLC/Old Mutual Global Investor Series II ICAV.

No. 9491

**Last Trade Date, November 12, 2018**

Foreside Fund Services, LLC/BP Capital Funds

No. 1389

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit <http://www.dtcc.com/rss-feeds.aspx>.

Non-Confidential

- The following firms will begin participation in the DTCC Payment aXis service, as detailed below:  
**First Trade Date, November 13, 2018:**

Foreside Distribution Services, L.P./Wellington Management Funds (Lux)	No.	1765
Foreside Fund Services, LLC/Wellington Management Funds	No.	7938

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, November 08, 2018**

Blackstone Advisory Partners L.P./Blackstone/GSO Floating Rate Enhanced Income Fund	No.	3457
---	-----	------

**First Trade Date, November 13, 2018**

Owl Rock Technology Finance Corp.	No.	1438
Woodfield Fund Administration LLC/Segall Bryant & Hamill Private Opportunities Fund, LP	No.	3964

- The following additional number has been assigned to SEI Investments Distri.Company/SEI Institutional (#5717) for the purpose of utilizing Fund/SERV, Networking, MF – Daily Price & Rate and ACATS Fund/SERV services, as detailed below:

**First Trade Date, November 12, 2018:**

SEI Investments Distribution Company/Symmetry Panoramic Trust	No.	3903
---	-----	------

Contact: Chip Richards  
Phone: (207) 347-2047

- The following additional number has been assigned to Global Trust Company (#5915) for the purpose of utilizing Fund/SERV, MF – Daily Price & Rate, and Networking services, as detailed below:

**First Trade Date, November 16, 2018:**

Global Trust Company/BNY-TimesSquare	No.	3965
--------------------------------------	-----	------

Contact: Frank Lallo  
Phone: (781) 970-5027

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>			<u>UIT</u>
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>		
<b><u>Clearing Broker Change, effective</u></b>								
<b><u>First Trade Date, November 8, 2018</u></b>								
From: Robinhood Financial, LLC.	PFCL	0158	CRFN	✓	✓		✓	
To: Robinhood Financial, LLC.	HOOD	6769	CRFN	✓	✓		✓	

**Delete Last Trade Date, November 05, 2018**

Stonecrest Capital Markets, Inc.	PERS	0443	SCMI	✓	✓	✓	✓
<b><u>Delete Last Trade Date, November 07, 2018</u></b>							
Broker Dealer Financial Services Corp.	NFSC	0226	BKFS		✓	✓	✓
<b><u>Delete Last Trade Date, November 09, 2018</u></b>							
Forte Securities LLC	ETCC	0873	FRTE	✓			
<b><u>Add First Trade Date, November 06, 2018</u></b>							
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLZK	✓			
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLZJ	✓			
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLZI	✓			
<b><u>Add First Trade Date, November 07, 2018</u></b>							
HRT Execution Services LLC	HRTF	0369	HRTX	✓			
<b><u>Add First Trade Date, November 08, 2018</u></b>							
CCO Capital, LLC	RBCD	0235	CCOC	✓	✓	✓	✓
<b><u>Add First Trade Date, November 09, 2018</u></b>							
BMA Securities LLC	IBKR	0017	BMAS	✓			
<b><u>Add First Trade Date, November 12, 2018</u></b>							
Sturdivant & Company, Inc.	ECUT	0100	STUR			✓	
FBL Marketing Services LLC	RBCD	0235	FBLM	✓	✓	✓	✓
<b><u>Add First Trade Date, November 15, 2018</u></b>							
FBN Securities, Inc.	ITGI	0099	FBNS	✓			

If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).