



Important Notice
National Securities Clearing Corporation

A#:	8762
P&S #:	8337
Date:	10/18/2019
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

Last Trade Date, October 18, 2019:

State Street Bank & Trust Co./Wealth Management Services 2	No.	2955
Northern Lights Distributors, LLC/Spectrum Mutual Funds	No.	3014
Northern Lights Distributors, LLC/Hundredfold Funds	No.	3767
Destra Capital Investments LLC/Multi-Alternative Fund	No.	3784
Northern Lights Distributors, LLC/Ontrack Core Fund	No.	7050
Northern Lights Distributors, LLC/Quantified Funds	No.	7939
State Street Bank & Trust Company/NRS/Lilly	No.	7954

- The following firms will change their name only, as detailed below:

First Trade Date, October 18, 2019:

From: John Hancock Funds, LLC/John Hancock Distributors LLC, VIT	No.	3267
To: John Hancock Investment Management Distributors LLC/John Hancock Distributors LLC, VIT	No.	3267
From: John Hancock Funds, LLC/John Hancock Worldwide Investors, PLC UCITS	No.	5133
To: John Hancock Investment Management Distributors LLC/Manulife Investment Management, PLC UCITS	No.	5133
From: John Hancock Funds, LLC	No.	5582
To: John Hancock Investment Management Distributors LLC	No.	5582

- The following firm will begin participation in the NYSE Listed service, as detailed below:

First Trade Date, October 21, 2019

Mirae Asset Securities (USA) Inc.	No.	1043
NYSE Alpha Identifier:		MIRA

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Non-Confidential

- The following firm will begin participation in Fund/SERV services, as detailed below:

First Trade Date, October 21, 2019

Beta Capital Securities LLC No. 4077

- The following firms will begin participation in the Alternative Investment Products services, as detailed below:

First Trade Date, October 17, 2019

US Bancorp Fund Services LLC/Acres Mortgage Fund Ltd No. 4357

First Trade Date, October 18, 2019

SS&C Technologies, Inc./Riverview Premium Partners Fund II LP No. 4360

First Trade Date, October 21, 2019

SS&C Technologies, Inc./Bridge Office Fund LP No. 4359

SS&C Technologies, Inc./North Rock Master Fund, Limited No. 4361

SS&C Technologies, Inc./North Rock Fund, Limited No. 4362

SS&C Technologies, Inc./North Rock Fund, L.P. No. 4363

First Trade Date, October 22, 2019

Bourne Financial Group, LLC No. 4282

Opus Fund Services (USA) LLC/8 Knots Fund, LP No. 4367

First Trade Date, October 23, 2019

Crescent Securities Group, Inc/Red Oak Capital Fund III Series B 75678YAB4 No. 4368

Crescent Securities Group, Inc/Red Oak Capital Fund III Series A 75678YAA6 No. 4369

Crescent Securities Group, Inc/Archon Growth & Income - Residential LP Class I No. 4370

Crescent Securities Group, Inc/Archon Growth & Income - Residential LP Class D No. 4371

Crescent Securities Group, Inc/Archon Growth & Income - Residential LP Class B No. 4372

Crescent Securities Group, Inc/Archon Growth & Income - Residential LP Class A No. 4373

- The following additional number has been assigned to Foreside Fund Services, LLC (#5659) for the purpose of utilizing Fund/SERV, Networking, DTCC Payment Axis, MF - Daily Price and Rate, and ACATS Fund/SERV services, as detailed below:

First Trade Date, October 22, 2019:

Foreside Fund Services, LLC/A3 Alternative Credit Fund No. 4364

Contact: Darren Siverling

Phone: (414) 299-2160

- The following additional number has been assigned to Arbor Court Capital LLC (#7023) for the purpose of utilizing Fund/SERV, Networking, and ACATS Fund/SERV services, as detailed below:

First Trade Date, November 01, 2019:

Arbor Court Capital LLC/Global Tactical Fund

No. 4366

Contact: Gregory B Getts

Phone: (440) 922-0066 (Ext. 101)

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>Products OTC/CMU</u>			<u>UIT</u>
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	
<u>Executing Broker Clearing Change, Effective</u>							
<u>First Trade Date, October 16, 2019</u>							
From: United First Partners, LLC	GSCO	0005	UFPA		✓	✓	
To: United First Partners, LLC	ECUT	0100	UFPA		✓	✓	
<u>Executing Broker Clearing Change, Effective</u>							
<u>First Trade Date, October 21, 2019</u>							
Americas Executions, LLC	IBFS	0824	AMRI	✓			
Americas Executions, LLC	ITGI	0099	AMRI	✓			
<u>Delete Last Trade Date, October 15, 2019</u>							
United First Partners, LLC	GSCO	0005	UFPA				✓
<u>Delete Last Trade Date, October 16, 2019</u>							
J.J.B. Hilliard, W.L. Lyons, LLC	WRET	0141	HDLY		✓	✓	✓

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.