



Important Notice
National Securities Clearing Corporation

A#:	8841
P&S #:	8414
Date:	04/24/2020
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

Last Trade Date, April 23, 2020:

Quasar Distributors, LLC/North Capital Funds No. 4111

Last Trade Date, April 27, 2020:

Quasar Distributors, LLC/BMT Investment Funds No. 8379

- The following firm will change their name only, as detailed below:

First Trade Date, April 23, 2020

From: W.P. Carey & Co. LLC/Carey Watermark Investors 2 Inc. (CWI 2) No. 6854
To: W.P. Carey & Co. LLC/Watermark Lodging Trust, Inc No. 6854

- The following firms will begin participation in the Omni/SERV Activity & Position service, as detailed below:

First Trade Date, April 22, 2020

ALPS Distributors, Inc./Smead Fund No. 6686

First Trade Date, May 01, 2020

Foreside Fund Services, LLC/Investment Managers Series Trust II No. 3009

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- The following firm will begin participation in the MF Info Exchange service, as detailed below:

First Trade Date, April 27, 2020

TIAA, FSB No. 3107

- The following firms will begin participation in the DTCC Payment aXis service, as detailed below:

First Trade Date, April 24, 2020

ALPS Distributors, Inc./Janus Henderson Fund No. 3083

ALPS Distributors, Inc./Janus Henderson Horizon Fund No. 5866

First Trade Date, April 30, 2020

Nationwide Life Insurance Company/Group Trust No. 7092

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, April 27, 2020

Alder Alternative Income SPE, LLC No. 1435

AO SPE 2, LLC No. 1436

First Trade Date, April 28, 2020

Walton Global Holdings, Ltd No. 1496

First Trade Date, April 29, 2020

Covenant Capital Investors, LLC No. 1434

- The following firm will begin participation in OTC Comparison, Obligation Warehouse and ACATS services, as detailed below:

First Trade Date, April 27, 2020:

Tradelink Securities LLC No. 4230

OTC Alpha Identifier TLISA

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>Products OTC/CMU</u>			
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<u>Delete Last Trade Date, April 20, 2020</u> Bell Potter Securities (U.S.), LLC	MIRA	1043	BELP	✓	✓	✓	✓
<u>Delete Last Trade Date, April 21, 2020</u> Elefant Markets Alliance Financial Group, Inc.	PERS WRET	0443 0141	LFNT AKGS	✓	✓	✓	✓
<u>Delete Last Trade Date, April 22, 2020</u> Marv Capital Inc.	SWST	0279	NTCP	✓	✓	✓	
<u>Delete Last Trade Date, April 24, 2020</u> Height Securities, LLC McCourtney-Breckenridge & Company LBMZ Securities, Inc.	PERS RBCD NFSC	0443 0235 0226	HITS MCTY ZACK	✓ ✓ ✓	✓ ✓ ✓	✓ ✓ ✓	✓ ✓ ✓

If you have any questions regarding this notice, please E-mail NSCC@DTCC.com.