



*Important Notice*  
**National Securities Clearing Corporation**

<b>A#:</b>	8855
<b>P&amp;S #:</b>	8428
<b>Date:</b>	06/05/2020
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

**Last Trade Date, June 04, 2020:**

Triloma Securities, LLC/Triloma Securities, LLC No. 9807

**Last Trade Date, June 05, 2020:**

Triloma Securities, LLC No. 3557  
 EMoney Advisor, LLC No. 3786  
 Nationwide Securities, LLC/Sub Account No. 4455

- The following firm will no longer participate in the NYSE Listed service, as detailed below:

**Last Trade Date, June 05, 2020:**

Muriel Siebert & Co., Inc. No. 0445  
 NYSE Alpha Identifier: STX

- The following firms will change their name only, as detailed below:

**First Trade Date, June 04, 2020**

From: Matrix 360 Distributors, LLC/Crow Point Fund No. 3322  
 To: Matrix 360 Distributors, LLC/Timber Point Funds No. 3322

From: Matrix 360 Distributors, LLC/EAS Funds No. 5701  
 To: Matrix 360 Distributors, LLC/Midwood Funds No. 5701

- The following firms will begin participation in the MF Profile service, as detailed below:

**First Trade Date, June 09, 2020**

TIAA, FSB No. 3107

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**First Trade Date, June 10, 2020**

Quasar Distributors, LLC/First State Investments Funds No. 3508

- The following firm will begin participation in the MF Daily Price & Rate and Networking services, as details below:

**First Trade Date, June 05, 2020**

Chevy Chase Trust Company No. 4708

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, June 04, 2020**

Angelo, Gordon & Co., L.P./AG Direct Lending Fund IV, L.P. No. 1643

**First Trade Date, June 05, 2020**

Broadmark Private Reit, LLC No. 1600

US Bancorp Fund Services LLC/Tortoise Education Opportunities Fund, LP No. 1640

- The following firm will begin participation in Insurance Processing Services, as detailed below:

**First Trade Date, June 03, 2020**

Brokers Alliance, Inc. No. 1639

- The following additional number has been assigned to Foreside Fund Services, LLC (#5659) for the purpose of utilizing Fund/SERV, Networking, MF Daily Price & Rate, DTCC Payment aXis and ACATS Fund/SERV services, as detailed below:

**First Trade Date June 03, 2020:**

Foreside Fund Services, LLC/Terra Firma Funds No. 1630

Contact: Casey Sauer

Phone: (414) 203-9122

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>OTC</u>	<u>Products OTC/CMU</u>		
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>		<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<b><u>Delete Last Trade Date, June 02, 2020</u></b> Thornhill Securities, Inc.	PERS	0443	THOR		✓		✓
<b><u>Delete Last Trade Date, June 03, 2020</u></b> Motif Investing, Inc.	PERS	0443	MOTF	✓	✓		✓
Clearpool Execution Services, LLC	ETCC	0873	CPET	✓			
Stonnington Group, LLC	PERS	0443	STNN		✓		✓

**Delete Last Trade Date, June 05, 2020**

Drivewealth, LLC

ETCC 0873 DWTH ✓

**Delete Last Trade Date, June 09, 2020**

Alpha Trading L.P.

SHMR 0695 ALFA ✓

**Delete Last Trade Date, June 11, 2020**

Drexel Hamilton, LLC

PERS 0443 DREX ✓ ✓ ✓ ✓

**Add First Trade Date, June 15, 2020**

TRC Markets LLC

BSSC 0352 TRCJ ✓

If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).