CF2/MQ Transmission Guides

8.14 Stock Distribution Reporting (SDARSTK):

Function User's Guide

The Depository Trust Company

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Document History

02/01/01	Add the following Activity Codes to appendix 4.1; 03, 04, 05, 31, 32, 33, 34, 36, 38, 39, 40.
04/01/01	Add Foreign Ordinary Share Processing Indicator to Position 347 of layout.
12/01/01	Add the following Activity Code to appendix 4.1; 94 – Bankruptcy.
07/01/10	Add function **STKSPL**.
09/01/12	Added Process Event Code.
11/18/14	The following changes were made to bring the existing document up to current standards.

11/18/14 (continued):

Revised CCF Header Record description.

Removed references to CCRDTFDB and added NDMDTFGP and NDMDTFSP.

Revised CF2 Header and Trailer Records

Changed CCF-II references to read CF2.

Identified existing CF2 HDR and TRL Record Format as NDM specific.

Corrected "TLR" in Field Description (position 1) to read "TRL".

Added (0001) to Field Description (position 56).

Expanded Filler (position 60) from 15 to ???

Removed Sequence Number field (position 75).

Added FTP specific CF2 Header and Trailer Record Format.

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Objectives of This Chapter

The objectives of this chapter are to:

- Describe DTC's Stock Distribution Record function
- Describe associated record formats

1. Overview

The DTC functions listed in this document give Participants the ability to request Stock Distribution records from DTC. These records indicate distribution of stock, projected distribution of stock, and unallocated stock projections. "SDARSTK" in this document refers to all five functions listed below unless specified.

This function is being offered via FTP and NDM (CF2). Users should read the appropriate CF2 System User Guide.

1.1 Stock Distribution Functions

STKDIS: Produced once daily; enables Participants to receive stock distributions allocated automatically overnight and already available to them

STKPND: Produced once daily; enables Participants to receive notification of any known allocations projected at that time, including transactions projected for up to five business days in the future

STKSTA, STKSTB, STKSTC, STKSTD, STKSTE, STKSTF, STKSTG, STKSTH, STKSTI: Enable Participants to receive stock distributions allocated that day, by means of hourly files reporting intra-day allocations, and one final intra-day allocation file; record type for these functions is STKSET.

STKSTL: Produced at the end of the day and contains all stock distributions that allocated that day

STKUND: Produced at the end of the day and contains all stock distributions not allocated as projected on the current date

STKSPL: Produced at the end of day (16:30) and contains supplemental data not available in STKSTL – allocated Stock Pledges

1.2 SDARSTK Transmission Modes

SDARSTK files are available via FTP and NDM (CF2) Users requesting SDARSTK via CF2 receive a file consisting of CF2 Header and Trailer records separated by detail records.

The formats for the CCF Header record, CF2 Header and Trailer records, and the SDARSTK records are described in the following sections.

1.3 SDARSTK Availability

The SDARSTK function is normally available from approximately 04:00 through 18:00 daily (Eastern Time).

1.4 Holiday Processing

Holiday	Open/Closed	SDARSTK Available
New Year's Day Martin Luther King Day Presidents' Day Memorial Day July 4 Labor Day Thanksgiving Day Christmas Day	Banks, Exchange, DTC: all closed	No
Columbus Day Veterans' Day	Banks: closed Exchange, DTC: open	Yes
Good Friday	Banks, DTC: open Exchange: closed	Yes

2. Header and Trailer Records

2.1 The CCF Header Record

NDM users executing NDMDTF01, NDMDTFGP, or NDMDTFSP will receive the following CCF Header record.

The CCF header record's format is as follows:

CCF Header Record Format				
Position	Length	Format	Field Name	Field Description
1	6	Character	Data Type Requested	STKDIS, STKPND, STKSTA, STKSTB, STKSTC, STKSTD, STKSTE, STKSTF, STKSTG, STKSTH, STKSTI, STKSTL, STKUND, STKSPL
7	6	Character	Data Type Created	STKDIS, STKPND, STKSTA, STKSTB, STKSTC, STKSTD, STKSTE, STKSTF, STKSTG, STKSTH, STKSTI, STKSTL, STKUND, STKSPL
13	8	Character	Creation Date	Date of data (MM/DD/YY).
21	8	Character	Spool Date	DTC data load date (MM/DD/YY).
29	8	Character	Load Time	DTC data load time (HH:MM:SS).
37	2	Binary	Record Size	Size of each data record.
39	4	Binary	Block Count	Number of data blocks input to CCFDTFDB.
43	4	Binary	Record Count	Number of data records.
47	???	Character	Filler	DTC use only. Do not use.

2.2 The CF2 Header and Trailer Records

The CF2 Header and Trailer records come in two different formats; NDM and FTP.

NOTE that the Header and Trailer records are identical except for the first and last field of each record.

2.2.1 NDM – CF2 Header and Trailer Records

NDM users executing NDMDTF02 or NDMDTFGH will receive the following:

Position	Length	Format	Field Name	Field Description
**CF2 Header and Trailer Record Format - NDM**
1	3	Character	Record ID	"HDR" or "TRL"
4	4	Character	Signon ID	Signon ID
8	6	Character	Data Type Requested	STKDIS, STKPND, STKSTA, STKSTB, STKSTC, STKSTD, STKSTE, STKSTF, STKSTG, STKSTH, STKSTI, STKSTL, STKUND, STKSPL
14	6	Character	Data Type Created	STKDIS, STKPND, STKSTA, STKSTB, STKSTC, STKSTD, STKSTE, STKSTF, STKSTG, STKSTH, STKSTI, STKSTL, STKUND, STKSPL
20	8	Character	Creation Date	Data Creation Date (MM/DD/YY)
28	8	Character	Spool Date	Data Load Date (MM/DD/YY)
36	8	Character	Load Time	Data Load Time (HH:MM:SS)
44	4	Numeric	Record Length	Record Length of data requested
48	8	Numeric	Record Count	Number of data records in file
56	4	Numeric	80-Byte Record Count	Number of 80-byte records per data type requested. (0001)
60	???	Character	Filler	DTC use only. Do not use.

2.2.2 FTP - CF2 Header and Trailer Records

FTP users will get the following:

CF2 Header and Trailer Record Format - FTP				
Position	**Length**	**Format**	**Field Name**	**Field Description**
1	3	Character	Record ID	"HDR" or "TRL"
4	8	Character	Sign-on ID	Sign-on ID
12	6	Character	Data Type Requested	STKDIS, STKPND, STKSTA, STKSTB, STKSTC, STKSTD, STKSTE, STKSTF, STKSTG, STKSTH, STKSTI, STKSTL, STKUND, STKSPL
18	6	Character	Data Type Created	STKDIS, STKPND, STKSTA, STKSTB, STKSTC, STKSTD, STKSTE, STKSTF, STKSTG, STKSTH, STKSTI, STKSTL, STKUND, STKSPL
24	8	Character	Creation Date	Data Creation Date (MM/DD/YY)
32	8	Character	Spool Date	Data Load Date (MM/DD/YY)
40	8	Character	Load Time	Data Load Time (HH:MM:SS)
48	4	Numeric	Record Length	Record Length of data requested
52	8	Numeric	Record Count	Number of data records in file.
60	4	Numeric	80-Byte Record Count	Number of 80-byte records per data type requested. (0001)
64	???	Character	Filler	DTC use only. Do not use.

3. SDARSTK Detail Record

The format of the SDARSTK Detail Record is described below. The first 26 bytes of each record returned contain a standard transaction header.

Position	Length	Format	Field Name	Field Description
1	1	Character	Feedback indicator	*(asterisk) = output message in the new format.
2	1	Character	Production/ Test indicator	P = production
3	6	Character	Record type	STKDIS, STKPND, STKSET, STKSTL, STKUND, STKSPL
9	2	Numeric	Version number	01
11	2	Numeric	Suffix number	01
13	6	Character	User reference number	Spaces
19	8	Character	Addressee ID	8-character identifier the receiver of the transmission (Participant ID).
27	8	Character	Participant Number	8-character Participant identifier.
35	8	Numeric	Allocation Date	CCYYMMDD allocation date (projected or actual).
43	6	Numeric	Allocation Time	HHMMSS zeroes if projected and/or unallocated.
49	1	Character	Department ID	D Dividend C Corporate Reorg
50	3	Character	Activity type	2–3 character activity code; see Appendix 4.1 (page 8) for values; space, if required, in third position.
53	12	Character	CUSIP number	12-character issue identifier, consisting of: • 2-character country code • 9-character identifier • check digit
65	48	Character	Security Description	Free-form security description.
113	8	Numeric	Record Date	CCYYMMDD

Position	Length	Format	Field Name	Field Description
121	8	Numeric	Payable Date	CCYYMMDD
129	1	Character	RDP Issue Type	1-character issue type; see Appendix 4.2.
130	3	Numeric	Sequence Number	Uniquely identifies a primary or supplemental announcement
133	15	Numeric (signed)	Whole Share Quantity	(In units of 1) position the allocation is based on.
148	6	Numeric (signed)	Fractional Share Quantity	Fractional Share Quantity
154	15	Numeric (signed)	New Whole Share Quantity	(In units of 1) distributed shares.
169	6	Numeric (signed)	New Fractional Share Quantity	New Fractional Share quantity
175	12	Character	New CUSIP Number	(For corporate Reorg transaction, spinoffs, etc) 12-character issue identifier, consisting of: • 2-character country code • 9-character identifier • check digit
187	48	Character	New CUSIP Description	Free-form security description.
235	8	Numeric	Contra Participant Number	(If shares are to be distributed to another Participant) 8-character Participant identifier; otherwise spaces.
243	3	Numeric	Sub Issue Type	Sub Issue Type
246	15	Numeric (signed)	Stock Rate	S9(9)V9(6) format
261	15	Numeric (signed)	Cash Value	S9(9)V9(6) format
276	02	Character	Allocation Status	(for STKDIS)　　A　allocated (for STKPND)　　P　projected (for STKSET)　　A　allocated (for STKSTL

Position	Length	Format	Field Name	Field Description
				and STKSPL) A allocated
				(for STKUND) U unallocated.
278	04	Character	Adjustment Code	SL Stock Loan CL Claim FL Fail RP Repo SA Misc Adjustment RM Mandatory Reorg Deposit RC Custody Reorg Deposit LR Mandatory Legal Reorg Deposit R3 Mandatory Over Three Year Reorg Deposit
282	04	Character	Adjustment Sub Reason Code	(For Misc. Adjustments–SA in position 278) 3-character adjustment reason code; see Appendix 4.3; otherwise spaces.
286	10	Character	DAM Reference ID	Deposit Automated Management system ID (when applicable)
296	8	Numeric	Reorg Deposit Date	CCYYMMDD (zeroes if not applicable)
304	8	Numeric	As Of Date	CCYYMMDD (zeroes if not applicable)
312	20	Character	Customer Account ID	20-character customer account identifier; spaces if not applicable.
332	15	Character	Participant Cross Reference	Participant Cross Reference ID. Used in some Corporate Reorg transactions.
347	1	Character	FOSP Indicator	Foreign Ordinary Share Processing Indicator: Y: Eligible for Processing N: Not Eligible for Processing
348	2	Character	Process Event Code	Event code used to process Stock Dividend and Stock Split Announcements. This code will determine which ATP Activity Code is used when processing the event. Currently, this code is only used for the following Function Codes: • 02 – Stock Split • 06 – Stock Dividend This field does not pertain to Record Type STKSPL

Position	Length	Format	Field Name	Field Description
350	51	Character	Spaces	For Future Expansion.

4 Appendices

4.1 Activity Description Codes

Activity	Description
02	Stock Split
03	Foreign Debt Unfavorable Tax Rate
04	Foreign Debt Favorable Tax Rate
05	Foreign Debt Exempt Tax Rate
06	Stock Dividend
07	Cash on Increased Shares
08	Cash Dividend
09	Foreign Cash Dividend: Unfavorable
11	Spinoff Ineligible
12	Spinoff Eligible
13	Bond Interest
14	Optional Dividend
15	Optional Dividend
17	Optional Dividend
18	Optional Dividend
19	Optional Dividend
20	Optional Dividend
21	Optional Dividend
23	Municipal Bond
25	Principal Payment
30	Dividend Reinvestment
31	Automated Dividend Reinvestment
32	Long Term Capital Gains
33	Short Term Capital Gains
34	Return of Capital
35	Tax Exempt Dividend Service
36	Sale of Rights ADR
37	Foreign Cash Dividend Favorable
38	Pay in Kind Bonds

Activity	Description
39	Rights Distribution
40	Foreign Tax Refunds
43	Special Distribution
44	Recapitalization
45	Liquidation
46	Sale of Assets
47	Redemption of Rights
49	Capital Gains
52	Tender
52M	Election Merger
52O	Voluntary–Other
53	CD Early Redemptions
54	Conversion
54T	Convert and Tender
58	Optional Repayment
58B	Optional Repayment–Book Entry
59	Rights Redemption
60	Warrant Subscription
61	Warrant Redemption
62	Mortgage Backed Put
62B	Mortgage Backed Put–Book Entry
63	CD Repayment
64	Unit Separation
65	Mandatory Put–No Retainment
65B	Retainment–Book Entry
65R	Retainment–Book Entry
66	Accrued Interest–Corporate Redemption
67	Accrued Interest–Municipal Redemption
68	Accrued Dividend–Corporate Redemption
69	Convertible Full Redemption/Maturity
69P	Convertible Partial Call
70	CD Redemption

Activity	Description
71	Stock Merger
71E	Effective Stock Merger
72	Cash Merger
72E	Effective Cash Merger
73	Reverse Split
73E	Effective Reverse Split
74	Corporate Partial Call
75F	Corporate Full Call
75M	Corporate Maturity
76	Municipal Partial Call
76D	Municipal Partial Defeasance
76P	Municipal Partial Pre-refunding
77D	Municipal Full Defeasance
77F	Municipal Full Call
77L	Municipal Full Pre-refunding (Late)
77M	Municipal Maturity
77P	Municipal Full Pre-refunding
79	Cash Liquidation
86F	Final Default Distribution
86N	Default Notice
86P	Interim Default Distribution
87F	UIT Termination
87U	UIT Termination/Unsold Assets Remain
89	CMO Final Paydown
90	Cash and Stock Merger
90E	Effective Cash and Stock Merger
92	ADR Installment Payment
93	Corporate Action
93E	Effective Corporate Action
94	Bankruptcy

4.2 Issue Types for SDARSTK function

Value	Description
0	Common, Ordinary etc
1	Preferred, Preference etc
2	Warrant or Right
3	Convertible bond
4	Unit
5	Municipal bond
6	Corporate or Government debt issue
7	Mutual fund
8	Certificate
9	Foreign
A	Other
B	Option
C	Contracts: commodities, T-bills, foreign currencies
D	Unit trust–Corporate
E	Unit trust–Muni
F	Non NASDAQ OTC
G	Convertible preferred
H	US Treasury notes
I	US Treasury bonds
J	US Treasury bills
K	Federal Home Loan Banks
L	Federal Home Loan Mortgage Corps
M	Federal land banks
N	Federal National Mortgage Association
O	Federal National Mortgage Association Partnership
P	Government National Mortgage Association
R	Farmers Home Administration
S	General Service Administration
T	Twelve Federal Banks
U	Twelve Federal International Credit Banks
V	Thirteen banks for cooperatives

Value	Description
W	International American Development bank
X	International Bank for Reconstruction and Development
Y	Export Import
Z	Other government: US Postal Service Tennessee Valley Authority

4.3 Adjustment Reason Codes

Code	Description
AAA	ALLOCATION ADJUSTMENT
AA7	YOUR ACCOUNT IS BEING CREDITED AT THE UNFAV RATE (20%) AS A RESULT OF THE REORG MERGER PD ON 4/2/93 (ARMSTRONG PHARM TO MEDEVA PLC, EFF 1/15/93; IF ENTITLED TO FAV RATE(15%), YOU MUST FOLLOW ATTACHED
ADA	COMPANY IN DEFAULT
ADM	ISSUE IN DEFAULT
AFA	SUPPLEMENTARY INTERIM ON
AFR	ALLOCATION OF FRACTIONAL RIGHTS
ANA	COMPANY NEVER DECLARED DIVIDEND ADJUST ALLOCATION ON
APA	ALLOCATION OF A PAY-IN-KIND (PIK) DISTRIBUTION
AP7	INTEREST/DIVIDEND DUE ON REPAYMENT OPTION
ARA	ALLOCATION FOR A Participant POSITION THAT WAS ASSUMED BY YOUR GOODSELVES PAID ON–RETIRED Participant NUMBER
ASA	ALLOCATION OF SCRIPT SHARES AMOUNT TO BE EXITED $
AWA	UNINSURED INTEREST WITHHELD AS PER FSLI
BBB	YOUR RECORD DATE POSITION WAS ADJUSTED FOR COD WITHDRAWAL ACTIVITY
BB1	YOUR RECORD DATE POSITION WAS ADJUSTED FOR COD WITHDRAWAL TO EFFECT A BUY BACK/TREASURY PURCHASE
BB2	YOUR RECORD DATE POSITION WAS ADJUSTED FOR A W/T WITHDRAWAL TO EFFECT A BUY BACK/TREASURY PURCHASE
BB3	YOUR RECORD DATE POSITION WAS ADJUSTED FOR A DWAC WITHDRAWAL TO EFFECT A BUY BACK/TREASURY PURCHASE
BDB	YOUR COD WITHDRAWAL WAS NOT DELIVERED; HOWEVER THE CERTIFICATE(S) WERE ISSUED AND OUTSTANDING OVER THE RECORD DATE IN THE NAME OF YOUR GOODSELVES
BEB	YOUR COD WITHDRAWAL WAS NOT DELIVERED, HOWEVER THE CERTIFICATE(S) WERE ISSUED AND OUTSTANDING OVER THE RECORD DATE IN THE NAME OF YOUR NOMINEE
BRH	REVERSAL OF A COD WITHDRAWAL ADJ
CLU	ADJUSTMENT MADE FOR THE PACIFIC DEPOSITORY (3097)
CMU	ADJUSTMENT MADE FOR THE MIDWEST DEPOSITORY (6942)
CNU	ADJUSTMENT MADE FOR THE PHILADEP DEPOSITORY (6070)

Code	Description
CPK	ACCRUAL CLAIM PAYMENT RELATED TO A STOCK DISTRIBUTION PAYABLE ON
CPP	PART-CLAIM PAYMENT
CRK	REVERSAL OF AN ACCRUAL CLAIM PAYMENT RELATED TO A STK DISTRIBUTION PAYABLE ON
CRP	PART-CLAIM REVERSAL
DAD	DEPOSIT–LOST CERTIFICATE OVER THE RECORD DATE WAS REGISTERED IN THE NAME OF YOUR CUSTOMER
DBD	DEPOSIT–LOST CERTIFICATE OVER THE RECORD DATE WAS REGISTERED IN THE NAME OF YOUR GOODSELVES
DCD	DEPOSIT AFTER CUT OFF DATE–TRANSFERRED INTO THE NAME OF CEDE ON RECORD DATE
DDD	YOUR RECORD DATE POSITION WAS ADJUSTED FOR DEPOSIT ACTIVITY
DED	DEPOSIT–LOST CERTIFICATE OVER THE RECORD DATE WAS REGISTERED IN THE NAME OF YOUR NOMINEE
DMT	CTF(S) HELD OVER RECORD DATE ON WHICH WE GUARANTEE TO HOLD YOU FREE AND HARMLESS OF ANY FURTHER CLAIM ON THIS DIVIDEND
DRH	REVERSAL OF A DEPOSIT ADJ
EEE	YOUR RECORD DATE POSITION WAS ADJUSTED FOR DELIVER ORDER (DO) ACTIVITY OF
FAP	FUNDS PREVIOUSLY ESCHEATED TO NY STATE; AFFIDAVIT TO FOLLOW; THIS IS TO REVERSE ESCHEATED AMOUNT OF CLAIM PAID
FF8	FOREIGN CASH DIVIDENDS ALLOCATION AT FAVORABLE RATE
FLE	DUE BILL/FAIL TRACKING ADJUSTMENT
FL9	FOREIGN CASH DIVIDENDS ALLOCATION AT UNFAVORABLE RATE
FRO	AS PER MEMO REQUESTING A FROZEN LETTER MOVEMENT FROM PART #
GLA	ALLOCATION ADJUSTMENT
GLM	MUNI BEARER BOND COUPON ADJUSTMENT
GLP	DTC CLAIM ON REGISTERED HOLDER–IN THE NAME OF YOUR GOODSELVES
GLZ	DTC CLAIM ON REGISTERED HOLDER–IN THE NAME OF YOUR CUSTOMER
IGE	YOUR INTERIM POSITION WAS ADJUSTED FOR DELIVER ORDER (DO) ACTIVITY OF
ILL	LATE ANNOUNCEMENT ACTIVITY DUE BILL ACTIVITY

Code	Description
JCB	DTC COD WITHDRAWAL ADJUSTMENT DTC INVESTMENT ID (REV ADJ)
JDD	DTC DEPOSIT ADJUSTMENT DTC DELIVER ORDER (DEL ADJ)
JEE	DTC PLEDGE RELEASE ADJUSTMENT
JEW	WRITE OFF REORG
JE7	DTC REDEMPTION ADJUSTMENT
JIE	DTC INVESTMENT ID ADJUSTMENT
JJE	DTC DELIVER ORDER (REC ADJ)
JPE	DTC PLEDGE ADJUSTMENT
JR7	DTC REORG ADJUSTMENT
JWT	DTC WT ADJUSTMENT
LCP	DTC CLAIM AGAINST REGISTERED HOLDER IN THE NAME OF YOUR CUSTOMER; LATE IDENTIFICATION OF MISSED TRANSFER BY T/A
LGP	DTC CLAIM AGAINST REGISTERED HOLDER IN THE NAME OF YOUR GOODSELVES; LATE IDENTIFICATION OF MISSED TRANSFER BY T/A
LNP	DTC CLAIM AGAINST REGISTERED HOLDER IN THE NAME OF YOUR NOMINEE; LATE IDENTIFICATION OF MISSED TRANSFER BY T/A
NNG	ADJUSTMENT FOR CASH ON INCREASED SHARES DUE TO A STOCK DISTRIBUTION PAYABLE
OP2	OPTIONAL DIVIDEND (OPS) ALLOCATION/ADJUSTMENT
OP3	YOUR OPTIONAL DIVIDEND (OPS) POSITION WAS ADJUSTED
PCP	DTC CLAIM AGAINST REGISTERED HOLDER IN THE NAME OF YOUR CUSTOMER
PGP	DTC CLAIM AGAINST REGISTERED HOLDER IN THE NAME OF YOUR GOODSELVES
PNP	DTC CLAIM AGAINST REGISTERED HOLDER IN THE NAME OF YOUR NOMINEE
RAH	THIS ADJUSTMENT IS IN RESPONSE TO YOUR WRITTEN INQUIRY
RIH	THIS ADJUSTMENT IS IN RESPONSE TO YOUR INQUIRY; REFER TO CONTROL NUMBER
RRA	OPTION TO RECEIVE VODAFONE GROUP ADRS AT 11.354392 FOR EVERY 100 ADRS
RTA	THIS ALLOCATION WAS ADJUSTED FOR A RATE CHANGE
RTJ	DISTRIBUTION OF ADDITIONAL RIGHTS FOR ROUND-UP OF FRACTIONS AS REQUESTED FOR YOUR BENEFICIAL OWNERS
SA6	ALLOCATION ADJUSTMENT FOR DIVIDEND REINVESTMENT DUE TO PRICE CORRECTION BY THE AGENT

Code	Description
SBK	ADJUSTMENT FOR CASH DUE ON AN ACCRUED STOCK PAYMENT
SCK	ACCRUED CASH DUE ON A STOCK DIVIDEND ADJUSTMENT MADE ON
SC6	DIVIDEND REINVESTMENT ALLOCATION
SDE	SUPPLEMENTAL INTERIM ACTIVITY
SDJ	BOND PAYS IN BABY BONDS THAT ARE ISSUED IN MINIMUM/INCRE-MENTAL DENOMINATIONS; THIS IS TO ADJUST FOR THE AMOUNT OF PENDING UNISSUED BONDS
SDK	ACCRUED CASH DUE ON DRP SHARE ALLOCATION OF
SD6	ADJUSTMENT TO YOUR DIVIDEND REINVESTMENT (DRP) POSITION
SE6	DIVIDEND REINVESTMENT (DRP) ALLOCATION OF CASH-IN-LIEU OF FRACTIONS
SF<	DUE BILL FAIL TRACKING REVERSAL
SF>	DUE BILL FAIL TRACKING
SJJ	ADJUSTMENT FOR CASH-IN-LIEU OF FRACTIONAL SHARES
SME	SUPPLEMENTAL INTERIM ACTIVITY FROM MIDWEST (6942)
SNE	SUPPLEMENTAL INTERIM ACTIVITY FROM MIDWEST (6942)
SOS	ALLOCATION OF SPINOFF SECURITY
SPE	SUPPLEMENTAL INTERIM ACTIVITY FROM PHILADEP (6070)
SPJ	AGENT PAID DTC BASED ON COMBINED FRACTIONS FOR ALL PUBLIC SERVICE–NEW HAMP.(NEW) SPINOFFS DISTRIBUTED ON JULY 25, 1991
SP4	PROCEEDS RESULTING FROM A (BUY) TRANSACTION AFFECTED IN OUR DTC/DIVIDEND TRADING ACCOUNT (#428-10288-1-3-039)
SRJ	ALLOCATION ADJUSTMENT DUE TO FRACTIONS ROUNDED UP BY THE AGENT
SR4	SHARE ADJUSTMENT RESULTING FROM A (BUY) TRANSACTION AFFECTED IN OUR DTC/DIVIDEND TRADING ACCOUNT (#428-10288-1-3-039)
SR5	SHARE ADJUSTMENT RESULTING FROM A (SELL) TRANSACTION AFFECTED IN OUR DTC/DIVIDEND TRADING ACCOUNT (#428-10288-1-3-039)
SR6	AS PER YOUR AUTHORIZATION, WE ARE CHARGING YOUR ACCOUNT IN LIEU OF RECEIPT OF DIVIDEND REINVESTMENT RELATED SHARES
SS5	PROCEEDS RESULTING FROM A (SELL) TRANSACTION AFFECTED IN OUR DTC/DIVIDEND TRADING ACCOUNT (#428-10288-1-3-039)
SS6	DRP ALLOCATION ADJUSTMENT DUE TO YOUR SUB ACCOUNTING INSTRUCTIONS TO THE AGENT

Code	Description
ST6	ALLOCATION OF DIVIDEND REINVESTMENT SHARES–DRP/TEDS INSTRUCTION @100%
WG1	WITHDRAWAL BY TRANSFER–LOST CERTIFICATE OVER THE RECORD DATE WAS REGISTERED IN THE NAME OF YOUR CUSTOMER
WHT	YOUR RECORD DATE POSITION WAS ADJUSTED FOR A WITHDRAWAL BY TRANSFER ACTIVITY
WL1	ADJUSTMENT MADE FOR A WITHDRAWAL BY TRANSFER THAT WAS NOT TRANSFERRED INTO YOUR CLIENT'S NAME BY THE RECORD DATE
WM1	ADJUSTMENT MADE FOR A WITHDRAWAL BY TRANSFER SET UP AFTER THE CUT OFF DATE MADE TRANSFER INTO YOUR CLIENTS NAME
WN1	YOUR POSITION WAS REINSTATED FOR A WITHDRAWAL BY TRANSFER, HOWEVER THE CERTIFICATE(S) WERE ISSUED AND OUTSTANDING OVER THE RECORD DATE IN THE NAME OF YOUR CUSTOMER(S)
WRH	REVERSAL OF A WITHDRAWAL BY TRANSFER ADJ
66P	THE INTEREST DUE ON THE ABOVE CUSIP WAS ERRONEOUSLY PAID TO YOU BY THE ISSUER ALTHOUGH THE CERTIFICATE OF DEPOSIT WAS TRANSFERRED TO CEDE(DTC) PRIOR TO THE INTEREST PAYMENT DATE
777	REORGANIZATION RELATED ADJUSTMENT MADE ON