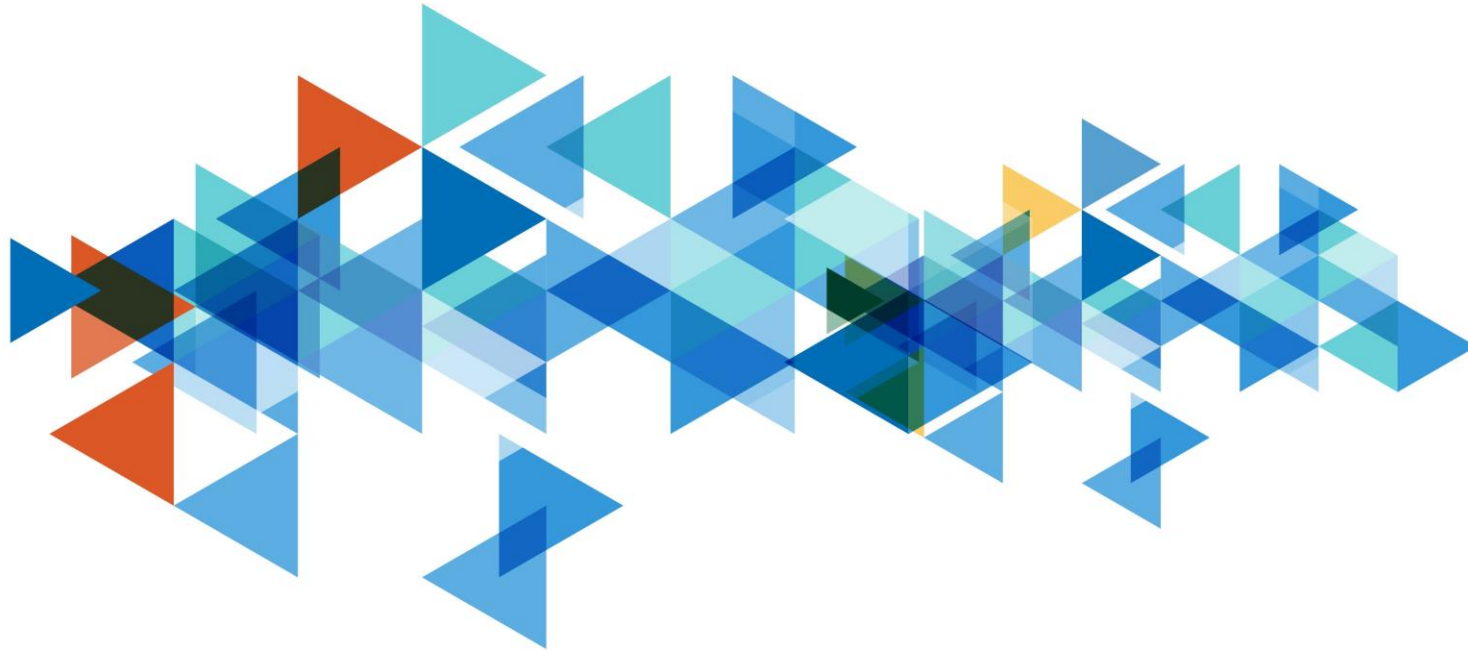




EquiLend PTS - T+1



THE EQUILEND ECOSYSTEM

TRADING SOLUTIONS

NGT
Swaptimization
ECS Loan Market

POST-TRADE SOLUTIONS

Unified Comparison
Mark-to-Market Comparison
Returns
Recalls
Settlement Monitor
EquiLend Exposure
SSI Repository
Dividend Comparison
Billing Comparison

REGTECH SOLUTIONS

SFTR
CSDR
ALD
ESG
10c-1

DATA & ANALYTICS SOLUTIONS

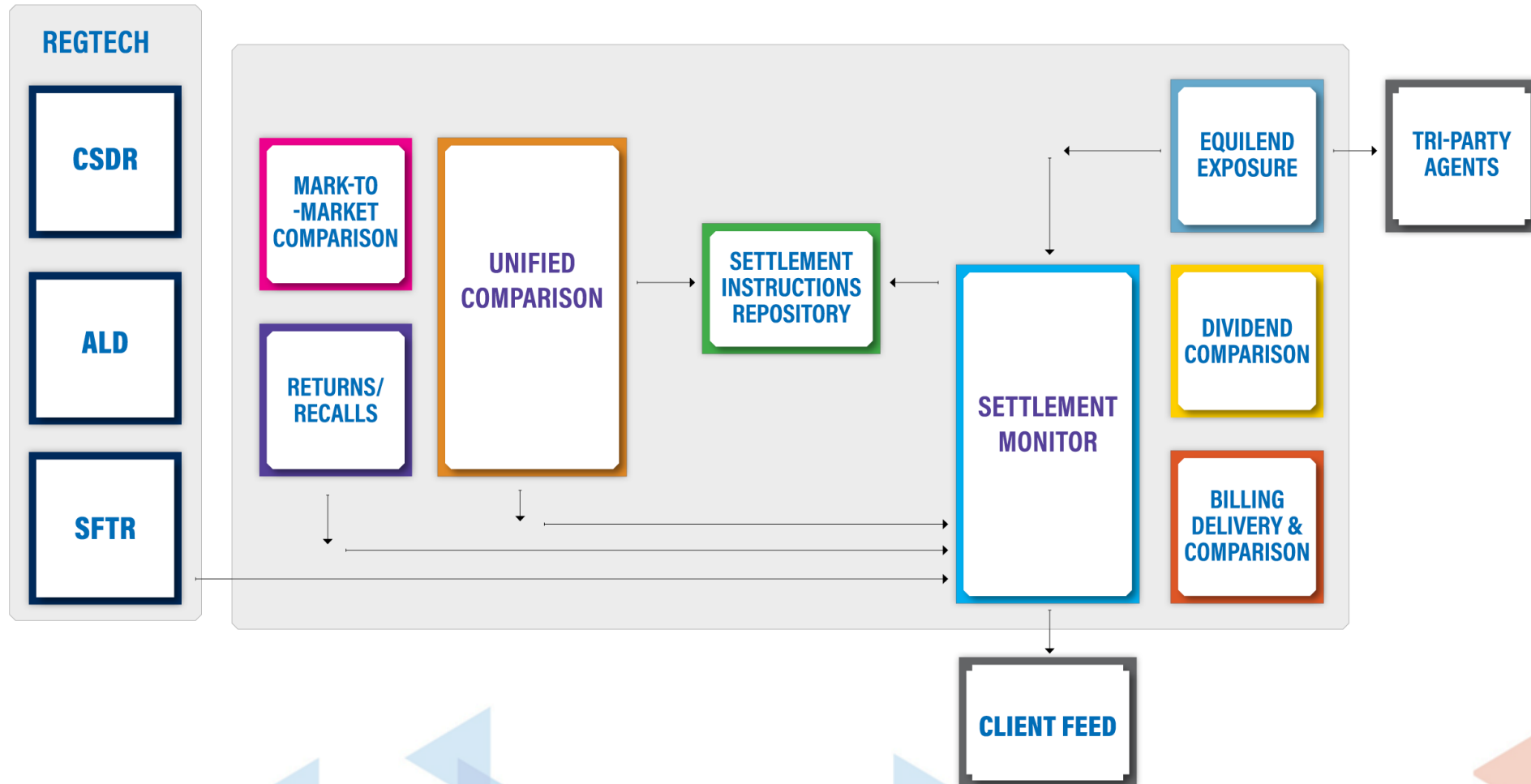
DataLend
Orbisa
Real-Time Data
CPR
DataLend Portfolio
Trading Analytics
Board Reporting

PLATFORM SOLUTIONS

Books & Records (U.S. & Global)
Locates
Fully Paid Lending
Billing, Earnings and Accounting
Order Management
Settlements
Inventory Management
Collateral Management
Seg Optimization
Post Trade

EQUILEND is a global financial technology, data and analytics firm offering Trading, Post-Trade, Data & Analytics, RegTech and Securities Finance Platform Solutions for the securities finance industry.

Post-Trade Solutions



Post-Trade Solutions

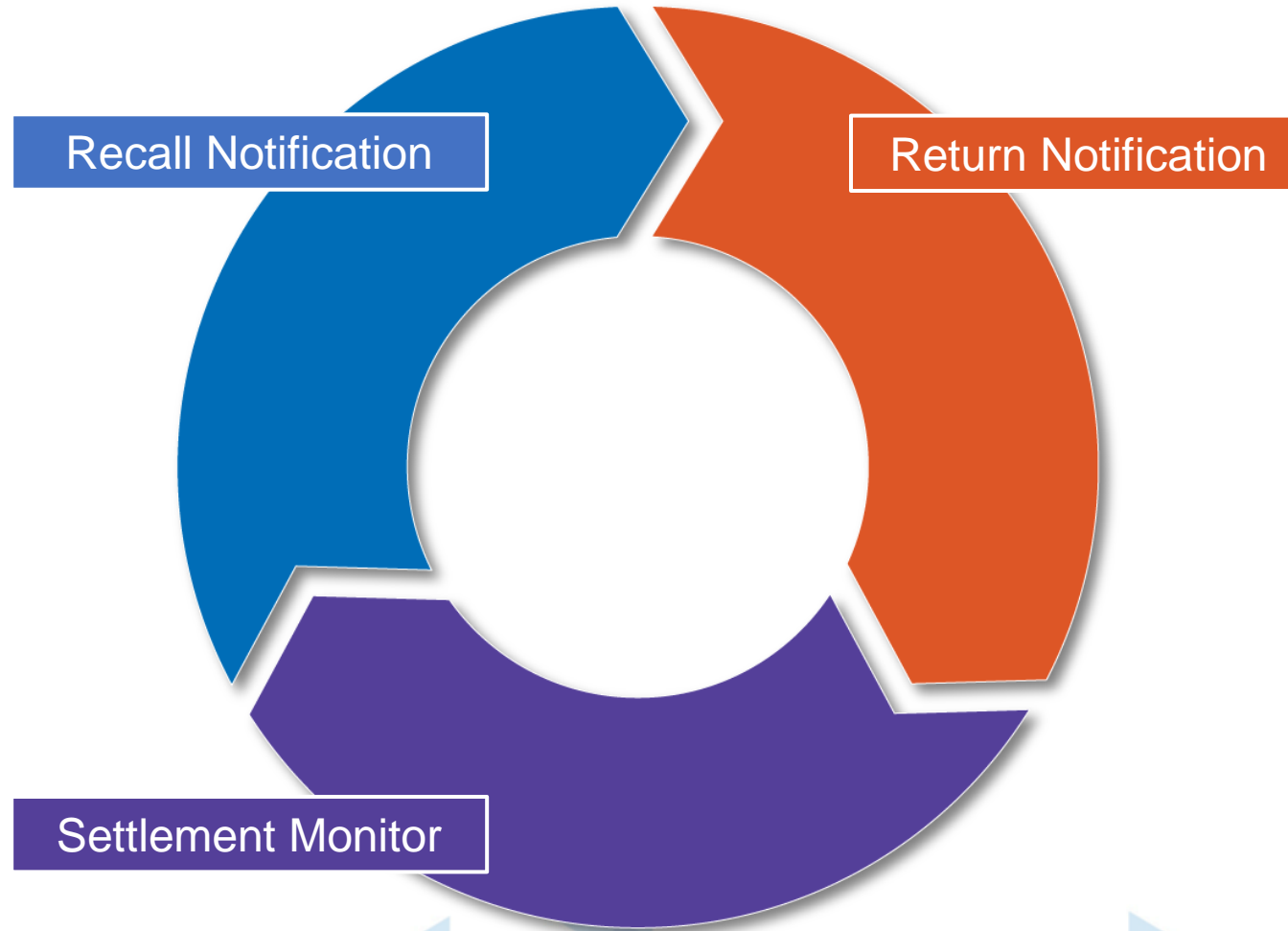


EquiLend provides a front-to-back T+1 solution



- Trade on **NGT** to automatically execute same-day borrows for shorts coverage
- Manage post-trade lifecycle with **EquiLend Post-Trade Solutions**
 - **Settlement Monitor** facilitates automated prematching of borrow/loan and return/recall activity with the flexibility to prioritize by key risk factors
 - Automated **Returns** and automated **Recalls** enable parties to initiate and agree on returns and recalls real time eliminating exceptions and settlement delays as well as monitor workflows within the UI. Auto Recalls solution is agnostic to market cut-offs so can support 23.59 deadline
 - **EquiLend Exposure** enables immediate collateral dispute reconciliation & remediation as well as facilitates automated triparty communication to reduce latency in agreeing and delivering collateral
 - **Mark-to-Market Comparison** can run at any time of the day to ensure prices are kept in line and facilitating accurate intra-day DVP returns settlement

One Powerful Solution



- Latest trade data displayed in all 3 functions
- All housed within 1 suite
- All interact & connect with each other
- Single UI design

Settlement Monitor

Settlement Monitor offers clients a meaningful way to further prepare back-office functions for CSDR.

Each trade is assessed for errors at the point of trade and throughout the trade lifecycle, utilizing data from multiple interconnected EquiLend products and sources to accurately identify errors, reducing fails and the costs associated with them.



Solution Features

- Easy onboarding process
- Global fails management across any market
- Identifies booking errors and inaccurate settlement instructions
- Centralized view of all intra-day activity with real-time feeds taken from EquiLend Exposure, SSI Repository, Returns and Unified Comparison

Benefits

- **Increases STP** – identifies exposure differences and automates RQV processing to reduce manual efforts and reduce settlement latency
- **Reduced fines** – faster collateral agreement means trades can be released and settled earlier
- **One UI** – manage RQV processing for multiple tri-party agents in one UI

➤ ***Automated Rebooks***

- ✓ *Match on screen, feed into your system*

➤ ***Enhanced Fields and Filters***

- ✓ *Released Instruction*
- ✓ *Estimated Penalty Accrual*
- ✓ *Recall Indicator*
- ✓ *SSI Details and Status*

➤ ***Real-Time Data***

- ✓ *Live Triparty Status*

All-New Recalls:



- Truly Global solution with connectivity to DTCC ARMS and full STP capabilities for US domestic & International markets
- Clearly identify risks in Recalls workflow with intuitive workflow management
- Recalls management, Returns management and Trade management embedded within one solution
- Redesigned UI links recall notifications to returns bookings facilitating clients to specifically track returns linked to recalls
- Bilateral market cut-offs set per Recall relationship
- Recalls sent below Minimum Tradeable Amounts (MTA) flagged at point of recall
- Seamlessly connect via existing automation / OneFile

EquiLend Exposure



EquiLend Exposure provides a centralized way to highlight intra-day exposure risk and identify, investigate and resolve discrepancies in collateral requirements between counterparties based on real-time information.



Benefits

- **Increases TP** – Identifies exposure differences and automates RQV processing to reduce manual efforts and reduce settlement latency
- **Reduces Fines** – Faster collateral agreement means trades can be released and settled earlier
- **One UI** – Manage RQV processing for multiple TriParty Agents in one UI

Features

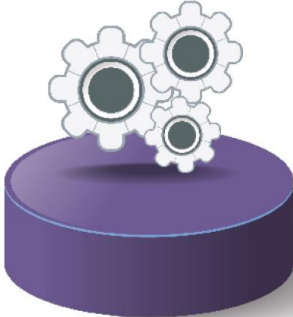
- Easily pinpoint misbooked trades, amend them ahead of collateral deadlines, prevent fails, save time and money and reduce internal risk.
- Visibility into market value differences by individual tri-party accounts
 - A bird's-eye view of the underlying trade breaks between the borrower and the lender causing RQV market value differences down to the tri-party account level
 - Ability to reconcile your calculated RQV market value against your counterparty's real-world submitted amounts at individual trade level

EquiLend Exposure



Margin/Exposure

Provides visibility to collateral coverage, facilitates market value reconciliations for settled, pending and prepay activity, simplifies dispute management and enables faster agreement of collateral.



Tri-party Detail Reconciliation

Facilitates an RQV value reconciliation, enables triparty account level contract reconciliation, providing visibility to triparty account misbookings.



Tri-party Connectivity

Facilitates connectivity to BNY, JPM and Euroclear triparty agents. Enables RQV prematching to streamline RQV agreement processes leading to faster collateral coverage. Supports one-sided or two-sided RQV submissions, supports unilateral and dual matching at JPM Triparty.