



CA ID	CUSIP	Security Description	Product Type	Event Type	Announced Date	Ex Date	Record Date	Payable Date	Due Bill Redempti on Date*****	Effective Date	DTC Instruction Expiration Date	DTC Cover/Pro tect Expiration Date	DTC Anticipated Pay Date	Public ation Date	Cash Rate	Security Rate	DTC Contra CUSIP	Disbur sed CUSIP	DTC Security Positions
542184618	192422103	COGNEX	10 - Common Stock	Stock Split	9/7/2023	10/2/2023	9/25/2023	9/29/2023	10/2/2023	N/A	N/A	N/A	N/A	N/A	N/A	1.00	N/A	192422103	10,000
542335539	12628R107	CSB BANCORP INC (OHIO)-	10 - Common Stock	Mandatory Exchange	9/8/2023	N/A	N/A	N/A	N/A	9/27/2023	N/A	N/A	9/28/2023	N/A	N/A	1.00	N/A	989817101	10,000
542335536	902494103	TYSON FOODS, INC.	10 - Common Stock	Tender Offer	9/8/2023	N/A	N/A	N/A	N/A	N/A	9/26/2023	9/27/2023	9/28/2023	N/A	\$ 55.00	N/A	N/A	N/A	10,000
542184619	714046109	REVVITY INC. COM	10 - Common Stock	Cash Dividend	9/7/2023	10/3/2023	9/25/2023	10/2/2023	10/3/2023	N/A	N/A	N/A	N/A	N/A	\$ 0.10	N/A	N/A	N/A	10,000
542184621	364760108	Gap Inc	10 - Common Stock	Stock Dividend	9/7/2023	10/3/2023	9/25/2023	10/2/2023	10/3/2023	N/A	N/A	N/A	N/A	N/A	N/A	0.3	N/A	364760108	10,000
542335545	55303J106	MGP INGREDIENTS INC +	10 - Common Stock	Tender Offer	9/8/2023	N/A	N/A	N/A	N/A	N/A	9/26/2023	9/27/2023	9/28/2023	N/A	\$ 55.00	N/A	N/A	N/A	10,000
542335546	680194107	OLD POINT FINANCIAL CORP-	10 - Common Stock	Exchange Offer	9/8/2023	N/A	N/A	N/A	N/A	N/A	9/26/2023	N/A	9/28/2023	N/A	N/A	0.80	N/A	192422103	10,000
542184622	704699107	PEAPACK GLADSTONE FINANCIAL CORP -	10 - Common Stock	Stock Split	9/7/2023	9/25/2023	9/25/2023	9/29/2023	N/A	N/A	N/A	N/A	N/A	N/A	N/A	1.00	N/A	704699107	10,000
542184623	896095106	TRICO BANCSHARES +	10 - Common Stock	Optional Dividend	9/7/2023	10/4/2023	9/25/2023	10/3/2023	10/4/2023	N/A	9/28/2023	N/A	N/A	N/A	\$ 15.00	N/A	N/A	896095106	10,000
542184624	43708L108	HOME FED BANCORP INC L	10 - Common Stock	Cash Dividend	9/7/2023	9/25/2023	9/25/2023	10/2/2023	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.15	N/A	NA	N/A	10,000
542335541	989817101	ZUMIEZ INC.	10 - Common Stock	Mandatory Exchange	9/8/2023	N/A	N/A	N/A	N/A	10/4/2023	N/A	N/A	10/5/2023	N/A	N/A	0.80	N/A	12628R107	10,000
542184625	706327103	PEMBINA PIPELINE CORPORATION F	10 - Common Stock	Rights Distribution	9/7/2023	N/A	10/2/2023	10/5/2023	N/A	N/A	N/A	N/A	N/A	N/A	N/A	1.00	N/A	203668116	10,000
542184626	923725105	VERMILION ENERGY INC F	10 - Common Stock	Cash Dividend	9/7/2023	9/25/2023	9/25/2023	10/2/2023	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.20	N/A	NA	N/A	10,000
542184627	14167R308	Carecloud Inc	20 - Preferred Stock	Cash Dividend	9/7/2023	9/26/2023	9/26/2023	10/3/2023	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.10	N/A	N/A	N/A	10,000
542184628	78590A406	SACHEM CAPITAL CORP. 7.75% NOTES DUE 2025	22 - Preferred Stock - REIT	Optional Dividend	9/7/2023	9/25/2023	9/25/2023	9/29/2023	N/A	N/A	9/28/2023	N/A	N/A	N/A	\$ 64.53	N/A	N/A	78590A406	10,000
542184629	72200U209	SARP PIMCO CORPORATE	102 - Auction Rate Preferred Stock - ARPS	Cash Dividend	9/7/2023	10/3/2023	9/25/2023	10/2/2023	10/3/2023	N/A	N/A	N/A	N/A	N/A	\$ 0.15	N/A	N/A	N/A	10,000
542335547	83175M205	SMITH & NEPHEW GROUP P L C	110 - American Depositary Receipt	Exchange Offer	9/8/2023	N/A	N/A	N/A	N/A	N/A	9/26/2023	N/A	9/28/2023	N/A	N/A	\$ 0.60	N/A	108441205	10,000
542184630	108441205	BRIDGESTONECORPADR*+	110 - American Depositary Receipt	Cash Dividend	9/7/2023	10/3/2023	9/25/2023	10/2/2023	10/3/2023	N/A	N/A	N/A	N/A	N/A	\$ 0.20	N/A	N/A	N/A	10,000

542184631	47103U100	ETF JANUS DETROIT STR BE<	117 - Exchange Traded Funds	Cash Dividend	9/7/2023	9/26/2023	9/26/2023	10/3/2023	N/A	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.10	N/A	N/A	N/A	10,000
542335552	643154JL2	KY COMMWLTH REF RV KY D05/14/08 4.500% MN18 BE	310 - Municipal Bond	Maturity	9/8/2023	N/A	N/A	10/4/2023	N/A	N/A	N/A	N/A	N/A	N/A	N/A	\$ 1,000.00	N/A	N/A	N/A	100,000
542335543	64966LU41	SVRDO NEW YORK N Y NY D06/18/15 00.010% 44 BE	314 - Municipal Variable Rate Demand Obligation (VRDO)	Put	9/8/2023	N/A	N/A	N/A	N/A	N/A	10/4/2023	N/A	N/A	N/A	N/A	\$ 1,000.000	N/A	N/A	N/A	100,000
542184632	61772BAB9	MORGAN STANLEY GLOBAL MEDIUM-TERM NOTES, SERIES I	500 - Corporate Bond (CB) or Global Corporate Bond (GCB)	Rights Distribution	9/7/2023	N/A	10/2/2023	10/5/2023	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	1.00		203668116	100,000
542184633	444425AA0	CD Barclays DEL WIL D12/30/13 0.010%	507 - Certificates of Deposit	Interest	9/7/2023	N/A	10/3/2023	10/5/2023	N/A	N/A	N/A	N/A	N/A	N/A	N/A	\$10.50	N/A	N/A	N/A	100,000
542184634	61762FAM6	GCMO BOACM 2008-1 D06/01/08 0.010% JJ51	540 - Collateralized Mtge Obligation or Global CMO	Interest	9/7/2023	N/A	9/27/2023	9/28/2023	N/A	N/A	N/A	N/A	N/A	N/A	N/A	\$ 10.50	N/A	N/A	N/A	100,000
542335554	64830XCJ6	GCMO BOACM 2008-1 D06/01/08 0.010% JJ51	540 - Collateralized Mtge Obligation or Global CMO	Final Paydown	9/8/2023	N/A	N/A	9/27/2023	N/A	N/A	N/A	N/A	N/A	N/A	N/A	\$ 25.00	N/A	N/A	N/A	100,000
542335548	G18375AA6	GABS CAPITAL ONE 04-3 D04/14/04 0.010% JJ22	541 - Asset-Backed Security (ABS) or Global ABS (GABS)	Tender Offer	9/8/2023	N/A	N/A	N/A	N/A	N/A	9/26/2023	9/27/2023	9/28/2023	N/A	\$ 55.00	N/A	N/A	N/A	100,000	
542184635	G84393AC4	STAR ENERGY GEOTHERMAL (WAYANG WINDU) LIMITED DUE 2033	542 - Non-CMO/ABS Amortizing Issue	Interest	9/7/2023	N/A	10/3/2023	10/5/2023	N/A	N/A	N/A	N/A	N/A	N/A	N/A	\$ 10.50	N/A	N/A	N/A	100,000
542335553	46124AAA9	CB144A INVACARE HLDGS D05/05/23 07.500% MN28	572 - Convertible Corporate Debt	Maturity		N/A	N/A	10/5/2023	N/A	N/A	N/A	N/A	N/A	N/A	N/A	\$ 1,000.00	N/A	N/A	N/A	100,000
542184636	61768CBJ5	MORGAN STANLEY ABS CAPITAL I 2006-NC1	704 - Corporate Debt Derivative	Interest	9/7/2023	N/A	10/3/2023	10/5/2023	N/A	N/A	N/A	N/A	N/A	N/A	N/A	\$ 10.50	N/A	N/A	N/A	10,000
542184637	11276B109	BROOKFIELD INFRASTRUCTURE	705 - Equity Derivative	Cash Dividend	9/7/2023	9/26/2023	9/26/2023	10/3/2023	N/A	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.15	N/A	N/A	N/A	10,000
542335549	203668116	RTS COMMUNITY H BE+	730 - Right	Rights Subscription	9/8/2023	N/A	N/A	N/A	N/A	N/A	9/26/2023	9/29/2023	10/2/2023	N/A	\$15.00 + plus 1 right	1.00		N/A	74348T102	10,000
542184638	74348T102	PROSPECT CAPITAL CORPORATION RR	761 - Closed End Fund	Optional Dividend	9/7/2023	9/25/2023	9/25/2023	9/29/2023	NA	N/A	9/28/2023	N/A	N/A	N/A	\$ 10.500	N/A	N/A	N/A	74348T102	10,000
542335550	67062J102	NUVEEN MUNICIPAL INCOME FUND INC	790 - Unit Investment Trust (Nuveen Only)	Cash Dividend	9/8/2023	10/3/2023	9/25/2023	10/2/2023	10/3/2023	N/A	N/A	N/A	N/A	N/A	\$ 0.20	N/A	N/A	N/A		
542184639	46137L144	INVESCO UNIT TRUSTS TAXABLE INCOME SERIES 489 INVESTMENT GRADE INCOME TRUST, 7-13 YEAR SERIES 43 IGST43	795 - Unit Investment Trust	Interest	9/7/2023	N/A	9/27/2023	9/28/2023	N/A	N/A	N/A	N/A	N/A	N/A	N/A	\$ 10.50	N/A	N/A	N/A	
542345891	43708L108	HOME FED BANCORP INC L	10 - Common Stock	Spin Off	9/15/2023	10/4/2023	9/26/2023	10/3/2023	10/4/2023	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.75	NA	NA	43708L108	10,000

Important Considerations:

When a field is marked N/A it will usually not be applicable for this event with the exception being where Elective Dividend Services apply in certain cases such as Tax Relief and DRIP. Participants may apply instructions in these cases but it is not mandatory that they do so

* The CA ID will be populated and available when the announcement has been generated in DTCC's Corporate Actions systems

*** The DTC Contra CUSIP will be available when the event has been created in DTCC's Corporate Actions systems

**** The DTC Disbursed CUSIP will be available when the event has been created in DTCC's Corporate Actions systems

***** Participants testing Rights Subscriptions in the PTS PSOP and PBS Rights Subscriptions functions should refer to the event details in PTS/PBS for subscription level information

***** Participants testing IVORS should refer to the redemption/rollover details in PTS/PBS Instructions Submission and Inquiries functions for further details

***** Due Bill Redemption Date is the first date that Due Bills can be redeemed and should be considered allocation date. This column is not to be confused with the CA Web Due Bill Redemption Date data element which is mapped to Due Bill Off Date