



FIXED INCOME CLEARING CORPORATION GOVERNMENT SECURITIES DIVISION

CONTACT LIST USER GUIDE

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ABOUT THE FICC GSD CONTACT LIST

The Contact List is a resource within the Real-Time Trade Matching (RTTM[®]) Web application for the Government Securities Division ("GSD") of the Fixed Income Clearing Corporation ("FICC") which Members can utilize in order to retrieve contact information for other FICC GSD Members. Each Member is responsible for maintaining their firm's contact information within the Contact List tool. Therefore, the tool is also used by each firm to maintain their own contact information. On a regular basis each firm should review their firms contact information within the Contact List tool and update the information as necessary. This guide will instruct users on how to access the Contact List in order to view other Members' contact information and to add, update or delete their firm's contact information.

LOGGING INTO THE REAL-TIME TRADE MATCHING (RTTM) WEB APPLICATION

The RTTM Web application should be accessed through Google Chrome. To access the application, select and then copy one of the three URLs listed below and paste it into the address field of your browser:

- 1. https://gsd-ficcweb.dtcc.net
- 2. https://portal.online.dtcc.net
- 3. https://login.dtcc.net

The screen will update to display the RTTM Web Login screen (Figure 1)¹.

Login	User ID: [Password: [Login	
		Forgot your Passwo	rd?
This system is operated by The Depository Trust & Cl approved purposes. Unauthorized access and use of civil penalties. The use of this system may be monitor monitoring and recording. Information obtained by DT outside the company.	learing Corporation ("DTC this system is strictly pro red, recorded, and subjec ICC through such monito	CC") and may be accessed only by hibited by DTCC and by law and n t to audit. Anyone accessing this s ring and recording may be disclose	r authorized users for nay result in criminal or ystem consents to such ed to persons within or
By logging on, you certify that you have read and und and intend to form a binding agreement with DTCC or	Jerstand the DTCC <u>Terms</u> n those terms without mo	of Use and Important Legal Inform dification or amendment thereto.	<u>mation</u> and <u>Privacy Policy</u>
We may place cookies and local shared objects on yo more information.	our computer. By using ou	ir Web site, you consent to this. Se	ee our <u>Privacy Policy</u> for

Figure 1: Login Screen

¹ For security purposes, you will be locked out of the RTTM Web application after three unsuccessful login attempts. If you are locked out, contact your Access Coordinator.

Next, enter your user ID and password in the appropriate fields and click Login.

What you will see next will depend on which URL was used to access RTTM Web.

If you selected to access RTTM Web via URL #1, then upon entering your login credentials and clicking the Login button, the below screen (Figure 2) will appear.

DTCC GSD	RTTM Web					
Dashboard Trades -	Obligations	Substitutions +	Tools -	Reports		
VP						
9608 - TEST BROKER ACCOUNT	Ŧ				08	8/10/2021
0	O		o 0			
ADVISORIES	REJECTS		CANCEL R	EQUESTS		
Trade Statistics All Trades	•					
				Items	Par	A
Compared				6	179,000,000	181,932
Pending Comparison				0	0	
Pending Cancel				0	0	
Canceled				0	0	

Figure 2: GSD RTTM Web Dashboard

If you selected to access RTTM Web via URL #2 or URL #3 , then upon entering your login credentials and clicking the Login button, the below screen (Figure 3) will appear. Once at this screen, click the FICC GSD RTTM Web tile.

	ome To MyDTCC	
My Products		
 Need access to another pro If your organization already h to another product or service 	oduct/service? Want to know who as access, your Administrators (SAC	your Administrators are? C & ACs) can grant you access
FICC GSD RTTM WEB	FICC Report Center	CRS
FICC GSD RTTM WEB	FICC Report Center	Customer Registration System
Clearing Services	Clearing Services	Corporate
PSE - MyDTCC		
Access to your PSE Products		
Corporate		

Figure 3: DTCC Portal

Once you've clicked the FICC GSD RTTM Web tile, the Dashboard screen (Figure 2) will appear.

NAVIGATING TO THE FICC GSD CONTACT LIST

Once you have arrived at the Dashboard screen, navigate to the Tools dropdown menu as seen below (Figure 4). Click Tools > Contact Management, to view your available options².

DTCC GSD R	TTM Web			
Dashboard Trades -	Obligations Substitutions +	Tools - Reports		
		Security Search	•	
DVP		Contact Management	•	View ALL
9608 - TEST BROKER ACCOUNT	v	CCLF	•	Entry / Update / Delete
O 0	O 0	O		
ADVISORIES	REJECTS	CANCEL REQUESTS		



View All GSD Contacts

To view all GSD contacts listed in RTTM Web, Select Tools > Contact Management > View ALL (Figure 5).

DTCC	GSD	RTTM Web				
Dashboard	Trades 👻	Obligations	Substitutions -	Tools - Reports		
21/2				Security Search	•	
DVP				Contact Management		VimALL
9608 - TEST BROKE	RACCOUNT	~		CCLF	×	Entry / Update / Delete
				CANCEL REQUESTS		



²The following users are allowed to make contact list updates:

- Super Access Coordinators
- Users who have the following roles:
 - o DVP Update
 - o GCF Update

Once you have selected View ALL from the dropdown menu, the below screen will appear (Figure 6). This screen displays all available contacts for GSD Members.

DTCC	GSD RT	TM Web			Contact Us DTCC.com
Dashboard	Trades +	Obligations Substitutions + Tools +	Reports		
Home / Contact Mar	nagement				DVP Business Date: 08/10/2021
GSD Contacts	5				C 🕹
					381 Results
Business Line	Member ID	Member Name	Contact Name	Email	Phone Number
GSD	8001	CCIT TEST ACCOUNT 1	Test1'aaa	test1@dtcc.com	212-855-1000
GSD	9091	UAT OMNIBUS MODEL TEST ACCOUNT	TEST ACCOUNT	UAT2@dtcc.com	212-123-4567
GSD	9583	TEST ACCT	RTTM TEST1	CCITtest@dtcc.com	555-555-5555
GSD	9608	TEST BROKER ACCOUNT	TEST BROKER	brokertest1@dtcc.com	555-123-9608



Note: This Contact List is maintained by the membership and not FICC.

Adding New GSD Contact Information

To add a new contact to the Contact List in GSD RTTM Web, select Tools > Contact Management > Entry/Update/Delete (Figure 7).

I	DTCC	GSD RTT	M Web					
	ashboard Trade	es 🗸 🔛 Ob	igations	Substitutions -	Tools -	Reports		
					Security Searc	:h	Þ	
DVP					Contact Mana	gement	•	View ALL
960	8 - TEST BROKER ACCO	JNT	*		CCLF		•	Entry / Update (Delete
\bigcirc	0		0		O			Ŭ
	ADVISORIES		REJECTS		CANCEL	REQUESTS		

Figure 7: Menu Option - Entry/Update/Delete Contacts

Once you have selected Entry/Update/Delete from the dropdown menu, the below screen will appear (Figure 8). This screen will allow a user to add new contact information to the list of available contacts of GSD Members. To add a new contact, click **+** Add Row.

DTCC	GSD RTTM Web				
Dashboard Trades	- Obligations Subs	stitutions + Tools +	Reports		
Home / Contact Management					
GSD Contact Manage	ment				
Contact(s)					
Member ID *					
9608-TEST BROKER ACCOUNT		~			
Contact Name *	Email		Phone Numbe	r	
+ Add Row					

Figure 8: Add New Contact Information

Note: If your login credentials allows for you to access more than one (1) GSD account, you will need to select from the Member ID dropdown list the account that the new contact information will be listed under.

Once + Add Row is selected, the screen will update (Figure 9) and the Contact Name, Email and Phone Number fields will become editable.

DTCC	GSD RTTM Web				
Dashboard	ades - Obligations	Substitutions -	Tools - Reports		Í,
Home / Contact Managem	ent				
GSD Contact Man	agement				
Contact(s)					
Member ID *					
9608-TEST BROKER ACCO	UNT	~			
Contact Name *	Ema	il		Phone Number	
Populad					 ×
+ Add Row					-



Note: The Contact Name is a required field.

Next, enter the relevant information in the available fields as seen on the screen below (Figure 10). Once you've entered the relevant information into the fields, click the Save icon. **Note**: When you hover your mouse over the Save icon, the "Add Contact" label will appear.

DTCC GS	D RTTM Web					
Dashboard Trades +	Obligations	is 👻 Tools 👻 Reports				
Home / Contact Management						
GSD Contact Managem	ent					
Contact(s)						
Member ID *						
9608-TEST BROKER ACCOUNT	*					
Contact Name *	Email		Phone Number	-		
John Doe 2	john.doe@dtcc.cr	om	555-123-5555		×	
+ Add Row						



Once the entry has been saved, the screen will update as seen below (Figure 11).

DTCC	GSD RTTM Web						
Dashboard	Trades - Obligations	Substitutions +	Tools -	Reports			
Home / Contact Manag	ement						
GSD Contact Ma	anagement						
	22						
Contact Added.							
Contact(s)							
Member ID *							
9608-TEST BROKER AC	COUNT	v					
Contact Name *		Email			Phone Number		
John Doe 2		john.doe@dtcc.com			555-123-5555		â C
+ Add Row							

Figure 111: Success message – Contact Added

You can repeat the above steps to add multiple contacts into the Contact List.

Edit Existing GSD Contact Information

To edit an existing contact in the Contact List, select Tools > Contact Management > Entry/Update/Delete (Figure 12).

DTCC GSD	RTTM Web			
Dashboard Trades +	Obligations Substitutions +	Tools - Reports		
		Security Search	×	
DVP		Contact Management	×	View ALL
9608 - TEST BROKER ACCOUNT	.	CCLF	×	Entry / Update (Relete
				Ŭ

Figure 12: Menu Option - Entry/Update/Delete Contacts

Once you have selected Entry/Update/Delete from the dropdown menu, the below screen will appear (Figure 13). This screen will allow a user to modify an existing contact. To modify the contact information for a particular name/group, click the Edit icon on the screen that is in line with the contact information that needs to be modified. **Note**: When you hover your mouse over the Edit icon, the "Edit Contact" label will appear.

DTCC GSD RTTM Web			
Dashboard Trades - Obligations	Substitutions - Tools - Reports		
Home / Contact Management			
GSD Contact Management			
Contact(s)			
Member ID *			
9608-TEST BROKER ACCOUNT	~		
Contact Name *	Email	Phone Number	
John Doe 2	john.doe@dtcc.com	555-123-5555	<u>n</u> 🕑
+ Add Row			

Figure 13: Edit Icon

Note: If your login credentials allows for you to access more than one (1) GSD account, you will need to select from the Member ID dropdown list which account's contact information will need to be modified.

Once the Edit icon is selected, the screen will update (Figure 14) and the Contact Name, Email and Phone Number fields will become editable.

DTCC	GSD R	TTM Web					
Dashboard	Trades +	Obligations	Substitutions -	Tools - R	eports		
Home / Contact Manag	gement						
GSD Contact M	anagement						
Contact(s)							
Member ID *							
9608-TEST BROKER A	CCOUNT		Ŧ				
Contact Name *		Em	nail			Phone Number	
John Doe 2		jo	hn.doe@dtcc.com			555-123-5555	B
+ Add Row							

Figure 14: Modify Existing Contact Information

Note: The Contact Name is a required field.

Next, update the relevant information in the available fields as seen on the screen below (Figure 15). In the example shown below, the Phone Number was updated. Once you've updated the relevant information, click the Save icon. **Note**: When you hover your mouse over the Save icon, the "Update Contact" label will appear.

DTCC	GSD R	TM Web					
Dashboard	Trades 👻	Obligations	Substitutions -	Tools	Reports		KEKEK
Home / Contact Manage	ement						
GSD Contact Ma	nagement						
Contact(s)							
Member ID *							
9608-TEST BROKER AC	COUNT		Ŧ				
Contact Name *		En	nail			Phone Number	
John Doe 2		jo	ohn.doe@dtcc.com			555-000-000	
+ Add Row							

Figure 12: Update Contact Information

Once the update has been saved, the screen will update as seen below (Figure 16).

DTCC GSD RTTM Web	Substitutions + Tools - Reports		
Home / Contact Management	×>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>		
GSD Contact Management			
Success. Contact Modified.			
Contact(s)			
Member ID * 9608-TEST BROKER ACCOUNT	•		
Contact Name *	Email	Phone Number	
John Doe 2 + Add Row	john.doe@dtcc.com	555-000-000	<u>i</u> C

Figure 136: Success message – Contact Modified

Delete Existing GSD Contact Information

To delete an existing contact in the Contact List, select Tools > Contact Management > Entry/Update/Delete (Figure 17).

	DTCC GSD RT	TM Web			
\bigotimes	Dashboard Trades +	Obligations Substitutions +	Tools - Reports	¢	
			Security Search	•	
DVF	2		Contact Management	Þ	View ALL
96	08 - TEST BROKER ACCOUNT	~	CCLF	•	Entry / Update Delete
	0	9 0	O 0		
	ADVISORIES	REJECTS	CANCEL REQUESTS		

Figure 17: Menu Option - Entry/Update/Delete Contacts

Once you have selected Entry/Update/Delete from the dropdown menu, the below screen will appear (Figure 18). This screen will allow a user to delete an existing contact. To delete the contact information for a particular name/group, click the Delete icon on the screen that is in line with the contact information that needs to be deleted. **Note**: When you hover your mouse over the Delete icon, the "Delete Contact" label will appear.

DTCC GSD RTTM Web			
Dashboard Trades - Obligations	Substitutions - Tools	- Reports	
Home / Contact Management			
GSD Contact Management			
Contact(s)			
Member ID *			-
9608-TEST BROKER ACCOUNT	~		
Contact Name *	Email	Phone Number	Ļ
John Doe 2	john.doe@dtcc.com	555-000-000	<u>i</u> 2
+ Add Row			

Figure 18: Delete Icon

Note: If your login credentials allows for you to access more than one (1) GSD account, you will need to select from the Member ID dropdown list which account you will be deleting contact information from.

Once the Delete icon is selected, the screen	will update (Figure 19) as seen below.
--	--

DTCC	GSD RTTM Web						
Dashboard	rades 👻 Obligations	Substitutions +	Tools -	Reports			
Home / Contact Managen	ient						
GSD Contact Man	agement						
V Success	5.						
Contact Deleted.							
Contact(s)							
Member ID *							
9608-TEST BROKER ACCO	UNT	-					
Contact Name *		Email		Pt	none Number		
T Add Row							

Figure 149: Success message – Contact Deleted

WHO TO CONTACT FOR ISSUES

At any time should you encounter a technical issue, please contact the GSD Operations team at (212) 855-7600 or <u>gsd_ops@dtcc.com</u>.