

# Quick Tips

## DTC Participants Fund Management

Did you know? DTC Participants can now request a return of their voluntary Participants Fund deposit on a monthly basis and be credited through their settlement account.

Withdrawals of voluntary funds will be returned by means of settlement as code 70 activity 1 and will appear on your settlement account statement.

If you request the return of funds today, it will be credited on the next business day in your settlement account unless you indicate the return of funds through Fed wire. A wire is only available for Participants who are subject to U.S. Jurisdiction.

DTC Participants who are subject to U.S. Jurisdiction must set up standing instructions for Fed wire with DTC. These standing instructions are reused each time a wire is requested, eliminating the need to re-enter information. To set up instructions, provide the information below at least one week in advance of the request.

**Please keep in mind that if you request a decrease in your voluntary cash on deposit, that this will reduce your collateral monitor, which governs the level of activity your firm may transact through DTC.**

For more information, contact the DTC Hotline at: 212-855-3434.

### Setting up Wire Instructions for Participants who are subject to U.S. Jurisdiction

1. Fill out the form on the following page and place it on your company letter head.
2. Have the form signed by an authorized signer on file at DTC and send it to DTCC's Account Administration Department.
  - The executed form(s) should be sent via e-mail (PDF) to DTCAA@dtcc.com (preferred).
  - Alternatively, a fax can be sent to: 212-855-4933 (ATTN: Account Administration).
  - You may also overnight the form to:  
The Depository Trust & Clearing Corporation  
Account Administration Dept.  
55 Water Street, 1SL  
New York, NY 10041



**PBS - Settlement Services**

dtcclearning





**WIRE INSTRUCTIONS FOR PARTICIPANT FUND RETURN REQUESTS**

Participant Name \_\_\_\_\_  
Participant Number \_\_\_\_\_  
Effective Date \_\_\_\_\_  
Participant Contact Name(s): \_\_\_\_\_  
Participant Contact Telephone Number(s): \_\_\_\_\_

The Participant indicated above authorizes DTC to wire excess Participant funds, as instructed by the Participant, to the following account:

Participant's Bank ABA \_\_\_\_\_  
Participant's Bank's Telegraphic ID \_\_\_\_\_  
Participant Account Number \_\_\_\_\_  
Participant Address \_\_\_\_\_  
Street \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_  
Zip \_\_\_\_\_ Country \_\_\_\_\_

Beneficiary Name \_\_\_\_\_  
Beneficiary Account Number \_\_\_\_\_  
Beneficiary Address \_\_\_\_\_  
Street \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_  
Zip \_\_\_\_\_ Country \_\_\_\_\_

Once this wire instructions form is completed and returned to the Account Administration group as noted below, DTC's Account Administration group will review the instructions for completeness. To be deemed complete, all fields must be populated.

DTC strongly urges Participants to employ stringent controls when using this service. It is recommended that Participants implement procedures that would preclude an individual with authority to provide DTC with wire instructions from performing the actual withdrawal of funds.

Authorized Signature \_\_\_\_\_  
Title \_\_\_\_\_  
Date \_\_\_\_\_

This form should be submitted to DTCC's Account Administration group at least one week prior to the desired effective date. The executed form(s) should be sent via e-mail (PDF) to [DTCAA@dtcc.com](mailto:DTCAA@dtcc.com) (preferred). Alternatively, a fax can be sent to 212-855-4933 (ATTN: Account Administration).