Settlement Status (Long Version) for Accounting

Business Transaction: **Settlement Status (Long Version) for Accounting**   Version: 1.0

ISO Message Type: **MT548 - Settlement Status and Processing Advice**

These are optional messages are sent to the participant for all state changes including "Made" in IMS/ATP occurs.

Key: **M** = Mandatory, O = Optional

Mandatory Sequence A - General Information

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block - General Information	:16R:		GENL
O	DTCC Tracking Number	:20C:	:SEME//	16x
O	Message Function Field that identifies the function of the message	:23G:		INST
O	Transaction Update Date/Time Date the transmission was updated	:98C:	:PREP//	yyyymmddhhmmss

Mandatory Subsequence A1 - Linkages (IMS TID)

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block - Linkages	:16R:		LINK
O	IMS Transaction ID Inventory Management System (IMS) transaction identifier	:20C:	:RELA//	16x
M	End of Block - Linkages	:16S:		LINK

Mandatory Subsequence A1 - Linkages (Deliverer Reference Number)

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block - Linkages	:16R:		LINK
O	Deliverer's Reference Number Assigned by payee (deliverer) for audit trail	:20C:	:TRRF//	16x
M	End of Block - Linkages	:16S:		LINK

Mandatory Subsequence A2 - Status

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block - Status	:16R:		STAT

M/O	Field Description	Tag	Qualifier(s)	Content Rules
O	Status Code The IMS or ATP states assigned as the transaction is processed through IMS and ATP.	:25D:	:SETT/DTCY/	4x Valid Status Codes: AUTH - Authorized, CANA - ATP Cancelled, CANC - IMS Cancelled, MAKD - Made, NAL1 - CNS Level 1 Received, NAL2 - CNS Level 2 Received, PDRI - IMS Permanently Dropped, PDRP - Dropped Permanent, PREA - Pre-Authorized, PREX - Pre-Exempted, RATP - PDC Reduction Processed, RAUT - RAD Authorized, RDPR - ATP Dropped Reintroduced, RGRN - Recycling Green, RJCF - IMS Rejected to File, RJCT - ATP Rejected, RJCI - IMS Rejected, RLSD - Released, RSUB - Submitted to RAD, SUBA - Submitted to ATP, UNPR - Unprocessed, XMPT - Exempted, XPER - Recycling Express, RXMP – Receiver RAD Exempt

Optional Subsequence A2a - Reason

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block - Reason	:16R:		REAS
O	Reject Code - Field Code Reject code	:24B:	:REJT/DTCY/	4x
O	Reject Code - Error Message Reason for the reject	:70D:	:REAS//	35x crlf 35x crlf 35x crlf 35x crlf 35x crlf 35x The maximum length DTC will accept is 210, 6 lines of 35 characters. Each line should be separated by a CRLF (carriage return - line feed).
M	End of Block - Reason	:16S:		REAS

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	End of Block - Status	:16S:		STAT

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	End of Block - General Information	:16S:		GENL

Optional Sequence B - Settlement Transaction Details

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block - Settlement Transaction Details	:16R:		SETTRAN
O	ISIN Identification of the financial	:35B:		The literal "ISIN" followed by a space followed by the 12

M/O	Field Description	Tag	Qualifier(s)	Content Rules
	Instrument (Country Code, CUSIP and Check Digit)			not accept Non U.S. ISIN Example-ISIN US1234567891. Note-DTC does not accept Non U.S. ISIN
O	Quantity Quantity of financial instrument to be settled expressed as units such as share quantity.	:36B:	:SETT//	UNIT/999999999, At least 1 whole digit is required. No more than 9 may be present. Fractions are not allowed. A decimal comma is always required.
O	Safekeeper Identifies account where financial instruments are maintained.	:97A:	:SAFE//	35x
O	Action Code	:22F:	:SETR/DTCY/	ADDS or SUBS or OVRL Must be one of the following values: ADDS - Add to existing shares, SUBS - Subtract from existing shares, OVRL - Overlay existing shares
O	Receiver/Deliverer Indicator	:22H:	:REDE//	DELI or RECE Valid Values: DELI - indicates that you are the deliverer or RECE - indicates that you are the receiver
O	Payment Indicator Indicates whether the underlying securities are free or valued	:22H:	:PAYM//	APMT or FREE Valid values: APMT - indicates a valued transaction or FREE - indicates a free transaction
O	Internal Source Code Identifies the input media for the transaction	:22F:	:STCO/DTCYISRC	4x
O	Transaction Type IMS transaction type	:22F:	:STCO/DTCYTXNT/	4x
O	Activity Code A code identifying a transaction.	:22F:	:STCO/DTCYACTV	4x
O	Actual Settlement Date Date at which the transaction was actually settled on.	:98A:	:EXSE//	yyyymmdd
O	Settlement Date Date on which the transaction should be settled.	:98A:	:SETT//	yyyymmdd
O	Narrative - DTC DO Comments Provides additional settlement processing information	:70E:	:SPRO//	35x crlf 35x crlf 35x crlf 35x crlf 35x crlf 35x crlf 35x crlf 35x crlf 35x crlf 35x The maximum length DTC will accept is 350, 10 lines of 35 characters. Each line should be separated by a CRLF (carriage return - line feed).

Optional Subsequence B1 - Settlement Parties (Deliverer)

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block - Settlement Parties	:16R:		SETPRTY

M/O	Field Description	Tag	Qualifier(s)	Content Rules
O	**Deliverer** Participant that delivers the security; for a payment order the payee; for a collateral pledge the pledgor.	:95R:	:DEAG/DTCYPART/	00009999 0000 followed by 4 digits. All 4 digits are required.
O	**Deliverer's Account Number** Deliverer, payee, or pledgor account number. Could be DTCC Participant number or the deliverer's internal account number.	:97A:	:SAFE//	35x
O	**Deliverer's Serial Number** Deliverer's Serial number	:20C:	:PROC//	16x
M	End of Block - Settlement Parties	:16S:		SETPRTY

Optional Subsequence B1 - Settlement Parties (Place of Settlement)

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block - Settlement Parties	:16R:		SETPRTY
O	Place of Settlement DTCC BIC Number	:95P:	:PSET//	DTCYUS33
M	End of Block - Settlement Parties	:16S:		SETPRTY

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	End of Block - Settlement Transaction Details	:16S:		SETTRAN