

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 7328
P&S# 6898
DATE: NOVEMBER 29, 2011
TO: ALL PARTICIPANTS
ATTENTION: MANAGING PARTNER/OFFICER, P&S MANAGER, CASHIER
MANAGER, DATA PROCESSING MANAGER, FUND/SERV
PARTICIPANTS
FROM: CASHIERING
SUBJECT: YEAR 2012 OBLIGATION WAREHOUSE RECAPS SCHEDULE

National Securities Clearing Corporation (NSCC)'s Reconfirmation and Re-pricing Service (RECAPS) now occurs monthly in the Obligation Warehouse (OW). The dates for NSCC's monthly RECAPS for 2012 are set forth in the table below. Equities, Municipals, Corporates and UITs that are two business days old or older are eligible for NSCC comparison and re-pricing.

2012 Monthly Obligation Warehouse RECAPS Cycle Calendar

RECAPS Date	Settlement Date
January 27, 2012	January 30, 2012
February 24, 2012	February 27, 2012
March 23, 2012	March 26, 2012
April 27, 2012	April 30, 2012
May 18, 2012	May 21, 2012
June 22, 2012	June 25, 2012
July 27, 2012	July 30, 2012
August 24, 2012	August 27, 2012
September 21, 2012	September 24, 2012
October 26, 2012	October 29, 2012
November 16, 2012	November 19, 2012
December 14, 2012	December 17, 2012

Questions regarding Obligation Warehouse or this Important Notice can be directed to DTCC Account Executive or Relationship Services at 1-800-422-0582.

George Novitsky, Manager Cashiering

Non-Confidential

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