



<b>B #:</b>	3089-16
<b>Date:</b>	April 1, 2016
<b>To:</b>	All Participants
<b>Category:</b>	Operations
<b>From:</b>	Asset Services – Corporate Actions Transformation Product Management Team
<b>Attention:</b>	Operations Managers/Technology Managers/Cashiers/Officers
<b>Subject:</b>	Additional and Revised Information Concerning the Availability of CCF Corporate Action Files in 2016 and Beyond

Early this year in Important Notices B#2538-16 and B#2570-16 DTC provided information regarding the retirement of CCF corporate action files in connection with the transition to ISO 20022 messaging. Additional information is now available and is presented below. Please be sure to review it carefully as **some dates and fee options have been revised, and supersede dates and fee options previously announced in the aforementioned notices.** Clients are encouraged to consider these dates when establishing budgets and planning for the allocation of resources.

Some files already are or will be available for a fee during an interim period leading up to their retirement date. See the charts below detailing the specific CCF files that are affected. Note that **Entitlements & Allocations files containing Reorganization activity** are subject to a hard retirement on December 31, 2018 and **will not be available for a fee.**

ANNOUNCEMENTS	FEE START DATE	DTC FILE RETIREMENT DATE
Distributions	January 1, 2016	January 1, 2017
Redemptions	July 1, 2016	July 1, 2017
Reorganizations	July 1, 2017	July 1, 2018
ENTITLEMENTS & ALLOCATIONS	FEE START DATE	DTC FILE RETIREMENT DATE
Distributions	January 1, 2018	<b>December 31, 2018</b>
Redemptions	January 1, 2018	
Reorganizations	N/A	

**ANNOUNCEMENT FILES – DISTRIBUTIONS**

The following CCF announcement files for Distribution event types are now subject to a fee as detailed in Important Notice B# 1946-15. DTC will discontinue these files as of January 1, 2017.

CCF FILE NAME	RECORD FORMAT
DIVANN	DIVANN

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CMORTx	CMORTA, CMORTB, CMORTC, CMORTD, CMORTE, CMORTF, CMORTG, CMORTH, CMORTI, CMORTS, CMORTX
MUNANN	MUNANN
RDPRP2	RDPRP2
RDPRPT	DVCHGA, DVCHGB, DVCHGC, DVCHGD, DVCHGE, DVCHGF, DVCHGG, DVCHGH, DVCHGI
RDPRPT	RDPRPT

### **ANNOUNCEMENT FILES – REDEMPTIONS**

The following CCF Announcement files for Redemption event types will be subject to a fee for the period July 1, 2016 through June 30, 2017. DTC will discontinue these files as of July 1, 2017.

<b>CCF FILE NAME</b>	<b>RECORD FORMAT</b>
REDEMx	REDEMA
REDEMx	REDEMC
REDEMx	REDEMG
REDEMx	REDEMX
REDMMx	REDMMG
REDMMx	REDMMA
REDMMx	REDMMC

### **ANNOUNCEMENT FILES – REORGANIZATIONS**

The following CCF Announcement files for Reorganization event types will be subject to a fee for the period July 1, 2017 through June 30, 2018. DTC will discontinue these files as of July 1, 2018.

<b>CCF FILE NAME</b>	<b>RECORD FORMAT</b>
REOGN2	REOGN2
REOGN2	REORGA
REOGN2	REORGC
REOGN2	REORGM

### **ENTITLEMENTS & ALLOCATIONS FILES CONTAINING DISTRIBUTIONS and/or REDEMPTIONS ACTIVITY**

The following CCF Entitlements & Allocations files for Distributions and/or Redemptions activity will be subject to a fee beginning on January 1, 2018 and ending on December 31, 2018. As of January 1, 2019 these files will no longer be available to DTC participant clients.

<b>CCF FILE NAME</b>	<b>RECORD FORMAT</b>	<b>ISO SUBSCRIPTION</b>
DIVRDP	DIVRDP	CANO-E
MMIDC1 MMIDC2	CSHMICCF cob copy “	CACO/CARE “
MMIRC1 MMIRC2	CSHMICCF cobcopy “	CACO “
CSHDAL CSHDPJ	CSHDAL “	CACO CAPA

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CSHDUN	“	CAPS
CSHEUD CSHEU1 CSHEU2 CSHIUA through CSHIUC CSHUNL	CSHSET “ “ “ “	CAPS CAPS CAPS CAPS CAPS
CSHFGP	CSHFGDTF cob copy	CAPA
STKDIS STKPND STKUND	SDARSTK “ “	CACO CAPA CAPS
REDEMx REDEMx REDEMx	REDLRA REDLRB REDEML	CAPA CAPA CAPA

**ENTITLEMENTS & ALLOCATIONS FILES CONTAINING REORGANIZATIONS  
ACTIVITY IN ADDITION TO EITHER DISTRIBUTIONS or REDEMPTIONS ACTIVITY, or  
BOTH**

The following files containing Reorganizations activity as well as either Distributions or Redemptions activity, or both, will be subject to a fee beginning on January 1, 2018 and ending on December 31, 2018. For these files, DTC will be creating a special subscription enabling participant clients to receive only Reorganization activity from these files. Participant clients consuming files with Reorganizations activity only will not be subject to a fee. Note that as of January 1, 2019 these files will no longer be available to DTC participant clients for any activity, including Reorganizations.

CCF FILE NAME	RECORD FORMAT	ISO SUBSCRIPTION
MMIRDP	REORDP	CANO-E
CSHRAL	CSHDAL	CACO
CSHRPJ	CSHDAL	CAPA
CSHPRJ	CSHSET	CAPA
CSHRUN	CSHDAL	CAPS
CSHSET	CSHSET	CACO
CSHSTA through CSHSTG	CSHSET	CACO
CSHS01 through CSHS13	CSHSET	CACO
CSHFGA	CSHFGDTF cob copy	CACO
STKSTA STKSTB STKSTC STKSTD STKSTE STKSTF STKSTG STKSTH STKSTI STKSTL	SDARSTK “ “ “ “ “ “ “ “ “ “	CACO “ “ “ “ “ “ “ “ “ “

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**ENTITLEMENTS & ALLOCATIONS FILES CONTAINING REORGANIZATIONS  
ACTIVITY ONLY**

The following CCF Entitlements & Allocations files for Reorganizations activity will be available through December 31, 2018 and will not be subject to a fee. As of January 1, 2019 these files will no longer be available to DTC participant clients.

<b>CCF FILE NAME</b>	<b>RECORD FORMAT</b>	<b>ISO SUBSCRIPTION</b>
REORDP	REORDP	CANO-E

Questions about this notice and preparing for ISO 20022 messaging for corporate actions can be directed to your Relationship Manager or the CA Transformation mailbox at [CATransformation@dtcc.com](mailto:CATransformation@dtcc.com).

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