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Date:	February 1, 2019
To:	ALL MEMBERS AND LIMITED MEMBERS
From:	WEALTH MANAGEMENT SERVICES – MUTUAL FUNDS
Attention:	MANAGER MUTUAL FUNDS OPERATIONS, MANAGER DATA PROCESSING, MANAGER CASHIERS, MANAGER P&S DEPARTMENT
Subject:	MF Info Xchange Service Enhancements

On **January 31, 2019**, National Securities Clearing Corporation (NSCC) implemented enhancements to MF Info Xchange – the real-time, centralized communications service for the mutual fund industry.

The following changes are now available in both PSE and Production environments:

1) Fund Liquidation Notification

This enhancement further expands the types of notifications that can be sent allowing clients to send communications regarding future fund liquidations.

2) “Cancellation Reason” field

In the event a previously distributed event is cancelled, a cancellation notification is typically required by intermediaries. The new “Cancellation Reason” field will allow clients to provide a cancellation reason to the consumers of the notification. “Cancellation Reason” field is only required when “Event Status” is set to Cancelled, but not allowed for other event statuses.

3) Distribution List template is available in the portal

Clients are now able to access the distribution list template in the upload format from the portal (Distribution Lists → Upload option in the portal navigation menu).

4) Revised “Merger Ratio” field description

Description was added to the “Merger Ratio” field to clarify the value contained in this field: “Merger Ratio (the number of Survivor Fund shares for each share of Retiring Fund)”.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

5) Enhanced Firm Dashboard

- “Upcoming Events” section will display events grouped by effective date and event type. Clicking on the event name will open the “Search Notification” screen and display notifications based on the selected criteria.

Upcoming Events		Effective next 30 days ▾
Fund Closure - Hard Close		1
Fund Closure - Liquidation		1
Fund Closure - Soft Close		1
Fund Merger		6

- Effective today
- Effective next 7 days
- Effective next 15 days
- Effective next 30 days
- All

- “Notifications Received” section will display events grouped by distribution date and event type. Clicking on the event name will open the “Search Notification” screen and display notifications based on the selected criteria.

Notifications Received		Last 7 days ▾
Fund Closure - Hard Close		
Fund Closure - Liquidation		
Fund Closure - Soft Close		
Fund Merger		10

- Today
- Last 7 days
- Last 15 days
- Last 30 days
- All

Clients can find more information by viewing the MF Info Xchange fact sheet (<http://www.dtcc.com/wealth-management-services/mutual-fund-services/mf-info-xchange>) and press release (<http://www.dtcc.com/news/2018/october/15/dtcc-to-launch-mf-info-xchange-to-transform-communications-for-mutual-fund-industry>).

User guide is available in the Learning Center (<https://dtcclearning.com/products-and-services/mutual-fund-services/>).

Additional Questions and comments regarding this Important Notice may be directed to Wealth Management Services at **212-855-8877**.

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