



Important Notice
National Securities Clearing Corporation

A#:	8737
P&S #:	8312
Date:	08/16/2019
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will begin participation in the MF Daily Price and Rate services, as detailed below:

First Trade Date, August 15, 2019:

Arbor Court Capital LLC/Tactical Funds No. 4099

First Trade Date, August 16, 2019:

Foreside Financial Services, LLC/Independent Franchise Partners US Equity Fund No. 3754

Foreside Financial Services, LLC/Vontobel Funds No. 7091

- The following firm will begin participation in the MF - Profile service, as detailed below:

First Trade Date, February 28, 2019:

Alps Distributors, Inc./Broadstone Real Estate LLC No. 7588

- The following firm will begin participation in Omni/SERV Activity & Position service, as detailed below:

First Trade Date, August 16, 2019:

Eaton Vance Distributors Inc./Eaton Vance Trust Company No. 5456

- The following firm will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, August 21, 2019

Resource Royalty, LLC No. 4279

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- The following firms will begin participation in the Insurance Processing services, as detailed below:

First Trade Date, August 15, 2019:

USAA Life Insurance Company	No.	4061
USAA Life Insurance Company of New York	No.	4062

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>		
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<u>Clearing Broker Change, Effective</u>							
<u>First Trade Date, August 15, 2019</u>							
From: CF Global Trading, LLC	MLCO	0161	CFGF	✓			
To: CF Global Trading, LLC	ECUT	0100	CFGF	✓			
<u>Delete Last Trade Date, August 14, 2019</u>							
CF Global Trading, LLC	MLCO	0161	CFGF		✓	✓	
<u>Delete Last Trade Date, August 14, 2019</u>							
IFS Securities, Inc.	ECUT	0100	IFSG	✓			
<u>Add First Trade Date, August 16, 2019</u>							
Tullett Prebon Financial Services, LLC	MLCO	0161	TPIS	✓	✓	✓	✓

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.