



LEGAL DISCLAIMER

TradeSuite ID Numbers 87000 - 88999

Certain institutions rely on their custodian to affirm their transactions in TradeSuite ID. These “Non-Self-Affirming Institutions” may be issued a TradeSuite ID Number for transparency and as a convenience.

TradeSuite ID Numbers (also referred to as a “User Number” on the ID Master File) **87000 - 88999** are dedicated to such “ID only” Non-Self-Affirming Institutions.

Users with these TradeSuite ID Numbers are not accessing TradeSuite ID services or any DTCC ITP services whatsoever using the TradeSuite ID Number.

Institutions who are issued these TradeSuite ID Numbers will not have direct access to TradeSuite ID, and therefore may not rely on DTCC ITP to satisfy their recordkeeping obligations. Additionally, if the broker-dealer is relying on TradeSuite ID to satisfy their confirmation disclosure obligations, then the broker-dealer may not rely on TradeSuite ID Numbers 87000 – 88999 to meet any obligations to the institution. For broker-dealers sending confirmations to other parties (including via population of the Agent ID Number field), please note that TradeSuite ID Numbers other than 87000 – 88999 continue to involve access to TradeSuite ID systems (which includes access to view and download confirmations).