

The logo for DTCC (Data & Trade Central Clearing Company) is displayed in a large, bold, white sans-serif font. The letters are closely spaced and have a slight shadow effect. The background of the entire page is a dark grey grid pattern of small squares.

Securing Today. Shaping Tomorrow.SM

CNS EQUITY MASTER FILE

Table 1: Document Change History

Version	Date	Summary
0.1	09/09/2014	First draft of the document
2.0	01/07/2015	Added Security Trading Status. Updated File delivery time

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File Content

The Trading/Clearing Equity Master file contains all actively traded and suspended Equities available in NSCC.

File Delivery

The file is available via Autoroute Product Id 02040290. It is produced on the NSCC Business day approximately at 5pm and contains the snapshot of data as of 5pm.

Record Layout

Position	Length	Format	Field Name	Description	Values
1 – 9	9	Character	CUSIP	9 character number and letter format as designated by the Committee on Uniform Securities Identification Procedures.	
10 – 10	1	Character	When Issued Indicator	Indicates if a security is When Issued or Regular Way.	0 – Regular Way 1 – When Issued
11 – 11	1	Character	Foreign Domestic Indicator	Indicates if a security is Domestic or Foreign	0 – Domestic. Default for all Securities.
12 – 12	1	Character	Exchange Indicator	The Exchange on which a security is listed.	0 – New York Stock Exchange 1 – NYSE Amex Equities Exchange 2 – OTC Exchange
13 – 27	15	Character	Security Symbol	An abbreviation used to uniquely identify publicly traded shares of a particular stock on a particular stock market.	
28 – 28	1	Character	CNS Eligibility Indicator	Indicates if a security is eligible for Continuous Net Settlement (CNS) through NSCC.	0 – Non-CNS Eligible 1 – CNS Eligible 2 – Suspended

29 – 29	1	Character	DTC Eligibility Indicator	Indicates if a security is eligible for DTC Services.	0 – Non-DTC Eligible 1 – DTC Eligible
30 – 30	1	Character	Special Trade Indicator	Indicates if there is any type of NSCC special processing required for this security.	0 – No Special Trade Processing 1 – Foreign Security Netting 3 – NSCC Special Trade
31 – 65	35	Character	Security Description	Security Short Description.	
66 – 69	4	Character	Trading DMM (Designated Market Maker)	A market maker that is obligated to maintain fair and orderly markets for an assigned set of listed firms.	For NYSE and AMEX Exchanges – Floor Clearing Number For OTC Exchanges – Zeroes or spaces
70 – 73	4	Character	DMM Clearing Agent	NSCC Clearing Agent.	For NYSE and AMEX Exchanges – Specialist Number For OTC Exchanges – Zeroes or spaces
74 – 75	2	Character	Post Number	Specialist Post Number.	For NYSE and AMEX Exchanges – Post Number For OTC Exchanges – spaces

76 – 77	2	Character	Panel ID	Panel ID.	For NYSE and AMEX Exchanges – Panel ID. For OTC Exchanges – spaces
78 – 78	1	Character	Unit Of Trade	The minimum quantity of a security required for regular trading purposes.	0 – 1-Share Round-Lot 1 – 10-Share Round-Lot 2 – 25-Share Round-Lot 3 – 50-Share Round-Lot 4 – 100-Share Round-Lot 5 – 1000-Share Round-Lot
79-79	1	Character	Security Trading Status	Indicates if a security is eligible for Trading through NSCC.	1 – Active 2 – Suspended
80 – 80	1	Character	Filler	Reserved for Future Use.	