

Below is a list of requirements for FAST applicants, and steps to be followed when completing the FAST application package:

- Print two sets of **Balance Certificate Agreements (BCA)**. Both agreements must be completely filled in leaving no blank spaces within the body of the contract. They must be signed by an officer of the company and attested by another officer of the company. Both sets must be returned to DTC for approval.
- An officer of the company must sign the **Operational Criteria for FAST** document. This three-page document discusses DTC's operational requirements. (Exhibit II)
- Please review the **Key Elements of Insurance** requirements and provide a current copy of your insurance coverage. The minimum acceptable insurance limit is \$10 million or a higher amount if required by law, rule or by a Self Regulatory Organization, such as the NYSE.
 - **(NOTE:** If you do not already have this coverage, please arrange for it to be effective at the time your application is approved. Any approval will be contingent upon valid and effective insurance.) You may request a quote from your carrier to be submitted with your application. **DO NOT** purchase a policy until you are approved in the FAST Program.
- Include a CUSIP listing (referenced as **Exhibit A** in the contract) of the issues you wish to make eligible for the FAST Program. Please refer to "DTC-CUSIP Eligibility Notice.pdf" within the application documents.
- The DWAC Agreement is optional. Please fill out the **DWAC Agreement** only if you plan to utilize this function.
- To become a FAST agent, a DTC transfer agent must be registered with the SEC or its appropriate regulatory authority and follow all applicable rules under SEC rules. Please refer to:
<http://www.sec.gov/about/forms/secforms.htm#transfer>
<http://www.sec.gov/rules/sro/dtc/2009/34-60196.pdf>
- Include the most recent external audit/accounting report of your transfer agent procedures and controls, as issued by an independent accounting firm.
- Include the most recent SEC audit examination reports with any letters of response you provided to the SEC on their audit findings.
- Original tax form. Please download the correct form and provide with your documentation:
<http://www.irs.gov/pub/irs-pdf/fw9.pdf>
- The **ANIRA External User's Guide** is for information only. The connectivity process will begin only after your application has been approved.

Please note: the absence of any document, or missing or inaccurate information contained in the documents, will result in delays in completing the application review process.

If you wish to proceed to apply to become FAST, please follow the links to the Application Documents on the FAST Program webpage. All completed applications should be submitted via email to DTCCSolutionsAA@dtcc.com