GENERAL REQUIREMENTS FOR AIP MEMBERSHIP

The following is a summary list of requirements needed to become a member of the AIP service:

MEMBERSHIP QUESTIONNAIRE
A DTCC form requiring information such as the legal entity name, primary contact(s), type of financial institution, country and state of incorporation, ownership information, etc.

FORMATION DOCUMENTS
Articles of incorporation, formation, partnership, etc.

LEGAL ENTITY CHART
A chart detailing any parents, subsidiaries, affiliated or other entities.

U.S. I.R.S. TAX FORM W9
Blank forms can be found at www.irs.gov.

OFAC LETTER
Intended to evidence that the Applicant has the primary relationship with the customers for whom they will be conducting activity through our organization; and has implemented a risk-based program reasonably designed to comply with applicable OFAC sanctions and regulations.

AIP MEMBERSHIP AGREEMENT
The legal agreement required to become an AIP Member.

RESOLUTION AND CERTIFICATE OF INCUMBENCY
Applicant must submit a listing of authorized signers that are authorized to submit any written request with regards to its AIP account.

CLIENT CYBERSECURITY CONFIRMATION
Applicant is required to confirm which Cybersecurity Program and framework their firm adheres to and ensure that it meets the standards and requirements of DTCC and our regulators.

For additional information or to begin the membership process, please contact AIP Business Development.

1This document outlines basic requirements for membership only. Applicants are subject to all requirements/standards for membership pursuant to the NSCC Rules, which may be viewed online at www.dtcc.com.