

# ACATS MEMBERSHIP FORMS

## AUTOMATED CUSTOMER ACCOUNT TRANSFER SERVICE (ACATS)

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### DTC Banks Participating in ACATS – User Profile

Participant Name \_\_\_\_\_ No. \_\_\_\_\_

Participant Contact Name \_\_\_\_\_

Address \_\_\_\_\_

State, City ZIP \_\_\_\_\_

Phone No: \_\_\_\_\_

Fax No: \_\_\_\_\_

E-Mail: \_\_\_\_\_

Tax ID No: \_\_\_\_\_

#### **Section 1.**      **INPUT/OUTPUT MEDIA**

How do you intend to access ACATS to submit data and receive ACATS output? Please check all that apply:

PC WEB DIRECT (Input & Output)

MRO File (Input & Output)

#### **Section 2.**      **ACATS OUTPUT REPORTS**

DTCC will produce ACATS output reports in print image format. If you would like to receive ACATS output in machine-readable format (MRO) please contact your relationship manager.

### **Standard Reports**

02090710	Multi-Cycle Transaction Report
02090711	End-of-Day Transaction Report
02090712	Settlement Options DTC
02090715	ACATS Fund/SERV Statistics
02090763	Settling Organization Rejects

### **Deliver and Receive Instructions**

02090713	ACATS deliver Instructions
02090714	ACATS Receive Instructions
02090761	ACATS Fund/SERV Deliver Instructions
02090762	ACATS Fund/SERV Receive Instructions
02090764	Settling Organization Rec. & Del. Instructions

**Section 3. DTC Ticket Output**

IF YOU WOULD LIKE TO RECEIVE THE FOLLOWING TYPES OF DTC OUTPUT TICKETS ON YOUR PTS PRINTER, PLEASE INDICATE THE PRINTER DESTINATION FOR EACH.

TICKET TYPE	PRINTER DEST
Deliver Order	
Payment Order	
ACATS Report Notification	

I do not wish to have tickets printed.

**Section 4. LINKAGES**

ACATS-Fund/SERV

**Section 5. DTC DO OVERRIDE**

This indicator will instruct DTC to automatically create a Night Deliver Order (NDO) for all DTC eligible assets.

Allow DTC to automatically create DO's

Do **Not** allow DTC to automatically create DO's

**Section 6. SIGNATURES**

\_\_\_\_\_  
Participant Contact

\_\_\_\_\_  
Date

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## FOR MORE INFORMATION

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