

File Transmission Layouts for Dealer Commission Report RJE Format

Purpose

The Dealer Commission Report reflects all commissions and related trade data that MBSCC will on behalf of the Brokers collect from the Dealers.

Frequency

Monthly - month-end.

*All records are of equal length -- 80 bytes. However, multiple physical records often comprise the information on one logical record.

*There are 3 different logical record types, each uniquely identified by card code, as follows: card codes 01 thru 02, & 99.

ACCOUNT/REPORT HEADER RECORD (CARD CODE 01)

(1 physical record per logical record)

Control record which signifies the beginning of the report for a given account. Contains recipient account and report identification items.

DEALER COMMISSION DETAIL RECORD (CARD CODE 02)

(2 physical records per logical record)

Detail record containing commission information on a single trade formatted for the dealer accounts only.

TRAILER CONTROL RECORD (CARD CODE 99)

(1 physical record per logical record)

Trailer record indicates the end of the report for the recipient account.

FILE TRANSMISSION LAYOUT - DEALER COMMISSION - RJE FORMAT - HEADER RECORD

Field Name	Length	Type	Cobol Picture	Description/Comments
RPT-CM-DT01-CARD-CODE	2	A/N	PIC X(02).	Header Record Card Code = '01'
RPT-CM-DT01-REPORT-ID	8	A/N	PIC X(08).	Report ID - 'MB7441-A'
RPT-CM-DT01-PARTICIPANT-ID	3	N	PIC 9(03).	Participant ID
RPT-CM-DT01-AGGREGATE-NUMBER	2	A/N	PIC 9(02).	Aggregate
RPT-CM-DT01-ACCOUNT-ID	4	A/N	PIC X(04).	Account Symbol
RPT-CM-DT01-PARTICIPANT-NAME	40	A/N	PIC X(40).	Participant Name
RPT-CM-DT01-RUN-DATE	8	A/N	PIC X(08).	Date of Report (YYYYMMDD)
RPT-CM-DT01-PASS	1	A/N	PIC X(01).	Pass Indicator (A = Morning, P = Evening)
FILLER	12	A/N	PIC X(12).	Filler
	<u>80</u>			

FILE TRANSMISSION LAYOUT - DEALER COMMISSION - RJE FORMAT - DETAIL RECORD

Field Name	Length	Type	Cobol Picture	Description/Comments
RPT-CM-DT02-CARD-CODE	2	A/N	PIC X(02).	Detail Record, Card Code = '02'
RPT-CM-DT02-SEQUENCE-NO	1	A/N	PIC X(01).	Physical Record Sequence = 1
RPT-CM-DT02-ACCT-ID	4	A/N	PIC X(04).	Account Symbol
RPT-CM-DT02-GIVES-UP-ACCT-ID	4	A/N	PIC X(04).	Broker Account Symbol
RPT-CM-DT02-CONTRA-ACCT-ID	4	A/N	PIC X(04).	Contra Account Symbol
RPT-CM-DT02-BUYER-SELLER-IND	1	A/N	PIC X(01).	B = Buy, S = Sell
RPT-CM-DT02-SETTLEMENT-YEAR	4	N	PIC 9(04).	Settlement Year (YYYY)
RPT-CM-DT02-SETTLEMENT-MONTH	2	N	PIC 9(02).	Settlement month (MM)
RPT-CM-DT02-CUSIP	9	A/N	PIC X(09).	CUSIP
FILLER	1	A/N	PIC X(01).	Filler
RPT-CM-DT02-TRADE-DATE	8	A/N	PIC X(08).	Trade Date (YYYYMMDD)
RPT-CM-DT02-TRADE-ID.				
RPT-CM-DT02-TRADE-PREFIX-NBR	4	N	PIC 9(04).	Trade Number Prefix
RPT-CM-DT02-TRADE-SUFFIX-NBR	6	N	PIC 9(06).	Trade Number Suffix
RPT-CM-DT02-INTERNAL-TRADE-NBR	15	A/N	PIC X(15).	Internal XREF/Trade#
RPT-CM-DT02-PAR-VALUE	13	N	PIC 9(11)V9(02).	Par Value
FILLER	2	A/N	PIC X(02).	Filler
	<u>80</u>			

FILE TRANSMISSION LAYOUT - DEALER COMMISSION - RJE FORMAT - DETAIL RECORD

Field Name	Length	Type	Cobol Picture	Description/Comments
RPT-CM-DT02-CARD-CODE	2	A/N	PIC X(02).	Detail Record, Card Code = '02'
RPT-CM-DT02-SEQUENCE-NO	1	A/N	PIC X(01).	Physical Record Sequence = 2
RPT-CM-DT02-DEALER-PRICE.	15	N	PIC 9(03)V9(12).	Dealer Price In Decimal.
RPT-CM-DT02-STTL-PRICE.	15	N	PIC 9(03)V9(12).	Settlement Price In Decimal.
RPT-CM-DT02-CMSN-FLAT-FEE	11	N	PIC 9(09)V9(02).	Commission Charged
FILLER	36	A/N	PIC X(36).	Filler
	<u>80</u>			

FILE TRANSMISSION LAYOUT - DEALER COMMISSION - RJE FORMAT - REPORT TRAILER RECORD

Field Name	Length	Type	Cobol Picture	Description/Comments
RPT-CM-DT99-CARD-CODE	2	A/N	PIC X(02).	Report Trailer Card Code, = '99'
FILLER	13	A	PIC X(13).	Filler
RPT-CM-DT99-ACCOUNT	4	A/N	PIC X(04).	Account Symbol
FILLER	1	A	PIC X(01).	Filler
RPT-CM-DT99-LOGICAL-COUNT	7	N	PIC 9(07).	Logical Record Count
FILLER	1	A	PIC X(01).	Filler
RPT-CM-DT99-PHYSICAL-COUNT	7	N	PIC 9(07).	Physical Record Count
FILLER	45	A	PIC X(45).	Filler
	<u>80</u>			