



MARGIN TRANSIT UTILITY TRIPARTY MESSAGING SERVICE

Transforming settlement management for collateral calls
– a new, more efficient tool for simplifying the margining process

DTCC's Margin Transit Utility (MTU) was created to improve settlement efficiency and to reduce operational complexity and risk for collateral call processing. By leveraging automation, MTU allows you to efficiently enrich, settle, monitor and report matched collateral calls globally, while easily connecting to and sharing information with multiple counterparties.

The MTU Triparty Messaging Service provides dealers and buy-side firms a single interface eliminating the need for multiple, agent-specific connectivity builds to individual triparty agents.

OPTIMIZE YOUR TRIPARTY AGENT MESSAGING

MTU's Triparty Messaging Service automates the processing of payment instructions for major triparty agent by removing manual tasks. Automating touchpoints between clients and triparty agents, MTU reduces the operational costs, risks, and complexity of margin call processing.

BENEFITS:

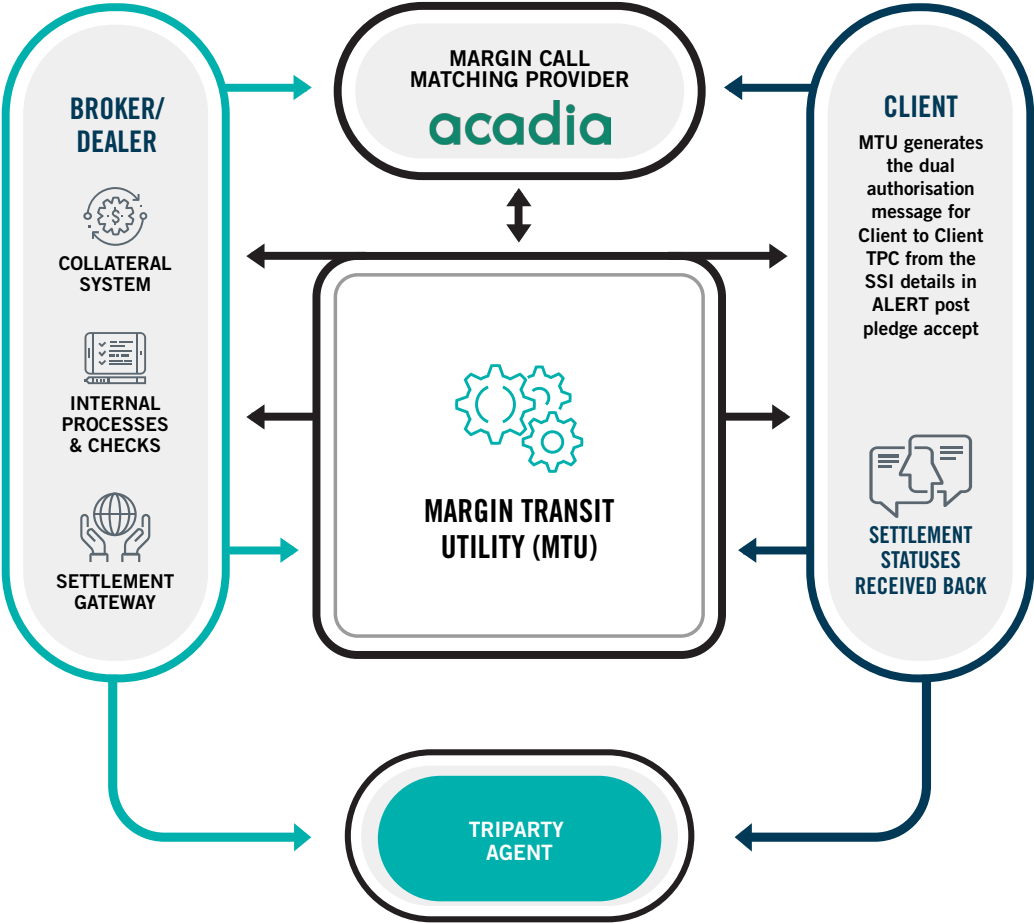
- Seamlessly connect to the capabilities you need, no outbound connectivity required
- Automated outbound settlement instruction following A/S RQV match
- Counterparties not required to be MTU client
- Consolidated reporting of collateral exposures and holdings across major triparty agents in conjunction with custodian and segregated account information.

HOW IT WORKS

Where counterparties use a triparty agent to optimize their collateral management and one or both counterparties use MTU, MTU provides one or both firms with a full automated solution. After the counterparties agree to the Triparty Required Value (RQV):

- MTU consumes the RQV and shares it with the triparty agent on behalf of one or both counterparties (pledgor and/or pledgee)
- The triparty agent matches instructions received from both parties
- The triparty provider processes the collateral settlements and sends a SWIFT-formatted status update to MTU.
- The status update can be viewed by either or both counterparties in the MTU GUI, the Acadia API or the user's collateral management system via an XML-format SWIFT ISO message.
- MTU provides consolidated reporting and record-keeping for collateral activity on a global basis across all supported triparty agents.

TRIPARTY WORKFLOW



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